## Edgar Filing: NYSE Group, Inc. - Form 4

NYSE Group Form 4 March 12, 20 <b>FORN</b> Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	D07 <b>I 4</b> UNITED S is box ger 6. r Filed purs inue. action	<b>IENT OF</b> suant to S a) of the F	Was CHAN ection 10 Public Ut	Shington, GES IN I SECUR 6(a) of the	D.C. 20 BENEF ITIES e Securit ling Con	549 ICIA ies E ipany	<b>L OW</b> xchang y Act of	COMMISSION NERSHIP OF e Act of 1934, f 1935 or Section 0	OMB Number: Expires: Estimated a burden hou response	rs per
(Print or Type F	Responses)									
Putnam Gerald D Symbol			Name <b>and</b> Ticker or Trading Group, Inc. [NYX]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (M	/liddle)		Earliest Tra	ansaction			(Chec.	к ан аррисави	;)
(Month/D C/O NYSE GROUP, INC., 11 03/08/20 WALL STREET				-				Director 10% Owner X_ Officer (give title Other (specify below) below) President and Co-COO		
				ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Aca	uired, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	ned	3. Transactio Code (Instr. 8)	4. Securit n(A) or Di (Instr. 3,	ties Ad spose 4 and (A) or	cquired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of
Common Stock, par value \$0.01 per share	03/08/2007			Code V S	Amount 700 (1)	. ,	Price \$ 84.57		I	See Footnote (2)
Common Stock, par value \$0.01 per share	03/08/2007			S	700 <u>(1)</u>	D	\$ 84.72	719,658	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	03/08/2007			S	700 (1)	D	\$ 84.77	718,958	I	See Footnote (2)

### Edgar Filing: NYSE Group, Inc. - Form 4

Common Stock, par value \$0.01 per share	03/08/2007	S	700 <u>(1)</u> D	\$ 84.8	718,258	I	See Footnote (2)
Common Stock, par value \$0.01 per share	03/08/2007	Р	700 <u>(1)</u> D	\$ 84.96	717,558	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	03/08/2007	S	700 <u>(1)</u> D	\$ 85.01	716,858	Ι	See Footnote $(2)$
Common Stock, par value \$0.01 per share	03/08/2007	S	800 <u>(1)</u> D	\$ 84.95	716,058	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	03/08/2007	S	900 <u>(1)</u> D	\$ 84.53	715,158	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	03/08/2007	S	900 <u>(1)</u> D	\$ 84.61	714,258	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	03/08/2007	S	900 <u>(1)</u> D	\$ 84.75	713,358	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	03/08/2007	S	900 <u>(1)</u> D	\$ 84.76	712,458	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	03/08/2007	S	1,100 (1) D	\$ 84.55	711,358 <u>(3)</u>	Ι	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

### **Reporting Owners**

#### Edgar Filing: NYSE Group, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative Securities Acquired (A) or		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo
				Disposed of (D)						Trans (Instr
				(Instr. 3,						(III)
				4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Putnam Gerald D C/O NYSE GROUP, INC. 11 WALL STREET NEW YORK, NY 10005			President and Co-COO					
Signatures								
Cornelius M. Courtney under F 4/27/2006	POA dated	1	03/12/2007					
**Signature of Reporting Pe	erson		Date					

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by GSP, LLC.
- (2) Mr. Putnam owns a controlling interest in GSP, LLC and is the president of G&S Management Co., the manager of GSP, LLC.
- Represents 706,358 shares held through GSP, LLC (Mr. Putnam owns a controlling interest in GSP, LLC), and 5,000 shares that GSP,
  (3) LLC gifted to the Putnam Family Foundation, a charitable organization. Mr. Putnam does not have a pecuniary interest in the Putnam Family Foundation and disclaims beneficial ownership in shares owned by the Putnam Family Foundation.

#### **Remarks:**

This is report 4 of 4 for transactions effected on March 8, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.