### Edgar Filing: APPLIED MATERIALS INC /DE - Form 3

#### APPLIED MATERIALS INC/DE

Form 3

December 21, 2006

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement APPLIED MATERIALS INC /DE [AMAT] Erad Menachem (Month/Day/Year) 12/13/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O APPLIED MATERIALS, (Check all applicable) INC., P.O. BOX 58039, 3050 BOWERS AV, M/S 2023 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Group VP, Chief of Staff \_X\_ Form filed by One Reporting Person SANTA CLARA. CAÂ 95054 \_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock  $128,000 \frac{(1)}{}$ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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 Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	08/05/2005	02/11/2009	Common Stock	240,000	\$ 22.84	D	Â
Employee Stock Option (right to buy)	07/15/2007	11/19/2009	Common Stock	35,000	\$ 15.07	D	Â
Employee Stock Option (right to buy)	08/05/2005	10/29/2010	Common Stock	65,000	\$ 22.58	D	Â
Employee Stock Option (right to buy)	08/05/2005	10/29/2010	Common Stock	100,000	\$ 22.58	D	Â
Employee Stock Option (right to buy)	(2)	11/03/2011	Common Stock	37,500	\$ 16.12	D	Â
Employee Stock Option (right to buy)	(3)	12/01/2012	Common Stock	60,000	\$ 18.64	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
coporting of national fractions	Director	10% Owner	Officer	Other	
Erad Menachem C/O APPLIED MATERIALS, INC. P.O. BOX 58039, 3050 BOWERS AV, M/S 2023 SANTA CLARA Â CAÂ 95054	Â	Â	Group VP, Chief of Staff	Â	

## **Signatures**

/s/ Charmaine Mesina, Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 50,000 performance shares (or restricted stock units) that are scheduled to vest in four equal annual installments beginning 8/31/07 and 78,000 performance shares that are scheduled to vest in three equal annual installments beginning 8/31/07 (assuming continued employment). The performance shares will be converted on a one-for-one basis into shares of Applied Materials, Inc. common stock immediately upon vesting.
- (2) 18,750 shares become exercisable on each of 7/15/07 and 7/15/08 (assuming continued employment).
- (3) 15,000 shares became exercisable on 8/31/06 and 15,000 shares become exercisable on each of 8/31/07, 8/31/08 and 8/31/09 (assuming continued employment).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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