

Tilly Edward T
Form 4
February 21, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Tilly Edward T

(Last) (First) (Middle)

C/O CBOE GLOBAL MARKETS,
INC., 400 SOUTH LASALLE
STREET

(Street)

CHICAGO, IL 60605

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Cboe Global Markets, Inc. [CBOE]

3. Date of Earliest Transaction
(Month/Day/Year)
02/19/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Common Stock	02/19/2019		M		6,743	A	\$ 94.16	133,692 D
Common Stock	02/19/2019		M		6,219	A	\$ 94.16	139,911 D
Common Stock	02/19/2019		M		10,365	A	\$ 94.16	150,276 D
Common Stock	02/19/2019		M		4,683	A	\$ 94.16	154,959 D
Common Stock	02/19/2019		F		2,988	D	\$ 94.16	151,971 D

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Common Stock	02/19/2019	F	2,756	D	\$ 94.16	149,215	D
Common Stock	02/19/2019	F	4,592	D	\$ 94.16	144,623	D
Common Stock	02/19/2019	F	2,075	D	\$ 94.16	142,548	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	02/19/2019		M			6,743	02/19/2019	<u>(2)</u>	Common Stock	6,743
Restricted Stock Units	<u>(1)</u>	02/19/2019		M			6,219	02/19/2019	<u>(3)</u>	Common Stock	6,219
Restricted Stock Units	<u>(1)</u>	02/19/2019		M			10,365	02/19/2019	<u>(3)</u>	Common Stock	10,365
Restricted Stock Units	<u>(1)</u>	02/19/2019		M			4,683	02/19/2019	<u>(4)</u>	Common Stock	4,683
Restricted Stock Units	<u>(1)</u>	02/19/2019		A		22,632		<u>(5)</u>	<u>(5)</u>	Common Stock	22,632

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

Tilly Edward T
C/O CBOE GLOBAL MARKETS, INC.
400 SOUTH LASALLE STREET
CHICAGO, IL 60605

X

Chairman,
President and
CEO

Signatures

/s/ Patrick Sexton,
attorney-in-fact

02/21/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Cboe Global Markets, Inc. common stock.
- (2) The restricted stock units vest in three equal annual installments, which began on February 19, 2017.
- (3) The restricted stock units vest in three equal annual installments, which began on February 19, 2018.
- (4) The restricted stock units vest in three equal annual installments, which began on February 19, 2019.
- (5) The restricted stock units vest in three equal annual installments beginning on February 19, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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