#### Edgar Filing: IORDANOU CONSTANTINE - Form 5

#### **IORDANOU CONSTANTINE**

Form 5

January 23, 2019

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer **IORDANOU CONSTANTINE** Symbol ARCH CAPITAL GROUP LTD. (Check all applicable) [ACGL] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2018 Chairman C/O ARCH CAPITAL GROUP LTD., Â WATERLOO HOUSE, 100 PITTS BAY ROAD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) PEMBROKE, DOÂ HM 08 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and Amount (D) Price Common By Shares, Limited Â $G^{(1)}$ 9,891 (2) D \$ (1) 0 \$.0011 par 11/01/2018 Ι Liability value per Company share Â $G^{(1)}$ Â Common 11/01/2018 9.891 \$ (1) 474,876 (2) D

Shares, \$.0011 par value per share									
Common Shares, \$.0011 par value per share	12/19/2018	Â	G	1,200	D	\$0	473,676	D	Â
Common Shares, \$.0011 par value per share	12/31/2018	Â	G(4)	126,694 (4)	D	\$ 0 (4)	478,334 (2)	I	By 2015 GRAT
Common Shares, \$.0011 par value per share	12/31/2018	Â	G <u>(4)</u>	126,694 (4)	A	\$ 0 (4)	600,370	D	Â
Depositary Shares (3)	Â	Â	Â	Â	Â	Â	3,000	I	By spouse
Depositary Shares (3)	Â	Â	Â	Â	Â	Â	7,000	D	Â

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Reminder: Report on a separate line for each class of

securities beneficially owned directly or indirectly.

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title Underly (Instr. 3
							Date Exercisable	Expiration Date	Title
					(A)	(D)			
Share Appreciation Right	\$ 12.35	12/31/2018	Â	G	Â	193,164 (5)	02/29/2012	02/28/2022	Shares \$.001 par va per sh
Share Appreciation Right	\$ 12.35	12/31/2018	Â	G	193,164 (5)	Â	02/29/2012	02/28/2022	Comn Shares \$.001

**SEC 2270** 

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										par va per sh
Share Appreciation Right	\$ 12.86	12/31/2018	Â	G	Â	240,674 (6)	Â	<u>(7)</u>	05/09/2022	Comn Shares \$.001 par va per sh
Share Appreciation Right	\$ 12.86	12/31/2018	Â	G	240,674 (6)	Â	Â	<u>(7)</u>	05/09/2022	Shares \$.001 par va per sh

va sh

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
IORDANOU CONSTANTINE								
C/O ARCH CAPITAL GROUP LTD.	â v	â	Chairman	â				
WATERLOO HOUSE,100 PITTS BAY ROAD	АЛ	Α	A Chairman	A				
PEMBROKE, D0 HM 08								

## **Signatures**

/s/ Constantine 01/23/2019 Iordanou \*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On November 1, 2018, the limited liability company distributed 9,891 common shares indirectly beneficially owned by the reporting person to the reporting person's direct holdings.
- The amount of common shares includes common shares acquired in connection with a 3-for-1 share split effectuated by Arch Capital **(2)** Group Ltd. on June 21, 2018.
- (3) Each Depositary Share Represents 1/1,000th interest in a Series F Non-Cumulative Preferred Share.
- On December 31, 2018, 126,694 common shares were distributed from the 2015 GRAT. Such common shares were previously reported as indirectly held by the 2015 GRAT. Following the distribution, the reporting person owns these 126,694 common shares directly.
- On December 31, 2018, 193,164 SARs were distributed from the 2014 GRAT. Such SARs were previously reported as indirectly (5) beneficially held by the 2014 GRAT. Following such distribution, the 2014 GRAT indirectly owns 0 and the reporting person owns 484,908 SARs directly.
- On December 31, 2018, 240,674 SARs were distributed from the 2014 GRAT. Such SARs were previously reported as indirectly (6) beneficially held by the 2014 GRAT. Following such distribution, the 2014 GRAT indirectly owns 62,326 SARs and the reporting person owns 240,674 SARs directly.
- The share appreciation right became exercisable in three equal annual installments with the first installment being exercisable on May 9, 2014 and the second and third installments being exercisable on May 9, 2015 and May 9, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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