

Holdsworth Raymond W Jr  
Form 4  
November 20, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Holdsworth Raymond W Jr

(Last) (First) (Middle)

2401 EAST KATELLA AVENUE, SUITE 300

(Street)

ANAHEIM, CA 92806

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Willdan Group, Inc. [WLDN]

3. Date of Earliest Transaction (Month/Day/Year)  
11/19/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/19/2018		M		5,000	A	\$ 1.87
Common Stock	11/19/2018		M		5,000	A	\$ 1.8
Common Stock	11/19/2018		M		5,000	A	\$ 4.01
Common Stock	11/19/2018		M		5,000	A	\$ 2.48
Common Stock							60,688

By The Holdsworth Family Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.87	11/19/2018		M	5,000	<sup>(1)</sup> 06/08/2019	Common Stock	5,000
Stock Option (Right to Buy)	\$ 1.8	11/19/2018		M	5,000	<sup>(2)</sup> 06/04/2022	Common Stock	5,000
Stock Option (Right to Buy)	\$ 4.01	11/19/2018		M	5,000	<sup>(3)</sup> 06/06/2021	Common Stock	5,000
Stock Option (Right to Buy)	\$ 2.48	11/19/2018		M	5,000	<sup>(4)</sup> 06/07/2020	Common Stock	5,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Holdsworth Raymond W Jr 2401 EAST KATELLA AVENUE SUITE 300 ANAHEIM, CA 92806	X			

## Signatures

/s/ Stacy B. McLaughlin, Attorney-in-fact for Raymond W.  
Holdsworth, Jr.

11/20/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in two equal installments on each of June 8, 2010 and June 8, 2011.
  - (2) The option became exercisable in two equal installments on each of June 4, 2013 and June 4, 2014.
  - (3) The option became exercisable in two equal installments on each of June 6, 2012 and June 6, 2013.
  - (4) The option became exercisable in two equal installments on each of June 7, 2011 and June 7, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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