Edgar Filing: Nichols Grace - Form 4

Nichols Grad Form 4 June 15, 201										
FORM	ΙΔ						OMB AF	PROVAL		
	UNITED STAT	ES SECURITIES A Washington			NGE C	COMMISSION	OMB Number:	3235-0287		
Check th							Expires:	January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL					LOW	NERSHIP OF	Estimated average 2005			
	Section 16. SECURITIES						burden hours per			
Form 4 c Form 5				F	1	- A - + - € 1024	response	0.5		
obligatio		Section 16(a) of the Dublic Utility Hol					2			
may cont	tinue. Section 17(a) of th	e Public Utility Hol n) of the Investmen					1			
See Instr 1(b).	uction 50(i) of the investment	i Compan	y Aci	01 19-	FO				
1(0).										
(Print or Type l	Responses)									
						5. Relationship of Reporting Person(s) to				
Nichols Gra	ice	Symbol	-				Issuer			
	New York & Co	New York & Company, Inc. [NWY]			(Check all applicable)					
(Last)	(First) (Middle)	3. Date of Earliest T	ransaction							
220 W 24T	H STREET, 9TH	(Month/Day/Year)				X Director Officer (give		Owner r (specify		
FLOOR	06/13/2018	5/13/2018			below) below)					
TLOOK	(Street)					< + + + + + + +				
		If Amendment, Date Original			6. Individual or Joint/Group Filing(Check					
		Filed(Month/Day/Yea	(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORK NY 10001 — Form filed by More than One Rep										
						Person				
(City)	(State) (Zip)	Table I - Non-	Derivative S	Securi	ties Acq	uired, Disposed of	, or Beneficiall	y Owned		
1.Title of	2. Transaction Date 2A. D		4. Securit			5. Amount of	6. Ownership			
Security	· · · · · · · · · · · · · · · · · · ·		ion(A) or Di	-		Securities	Form: Direct (D) or	Indirect Beneficial		
(Instr. 3)	any (Mont	h/Day/Year) (Instr. 8)	Code (Instr. 3, 4 and 5) (Instr. 8)			· · · · · ·	Indirect (I) Owner			
		• • • •				Following	(Instr. 4)	(Instr. 4)		
				(A)		Reported Transaction(s)				
		C-1 I	7 A	or	Della	(Instr. 3 and 4)				
Common			⁷ Amount 20,500	(D)	Price \$					
Stock	06/13/2018	S	(1)	D	φ 4.17	338,496 <u>(2)</u>	D			
			_							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director 10% Owner O		Officer	Other				
Nichols Grace 330 W. 34TH STREET 9TH FLOOR NEW YORK, NY 10001	Х							
Signatures								
Linda Gormezano, attorney-in- Gormezano	06/15/2018							
<u>**Signature of Reporting</u>		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a transaction involving a disposition of equity securities to satisfy tax withholding obligations upon the vesting of restricted stock and performance-based restricted stock.
- (2) Includes 338,496 shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.