Edgar Filing: Nada Hany - Form 4

Nada Hany												
Form 4												
June 13, 20	_											
FORM	14 UNITED	STATES	SECU	BITIE	c /	ND FX('HAP	NGF CO	OMMISSION	-	APPROVAL	
Check tl		STATES				, D.C. 205		NGE CU		OMB Number:	3235-0287	
if no lon	ger				•••		CT L			Expires:	January 31, 2005	
subject t Section Form 4	F CHANGES IN BENEFICIAL OWNE SECURITIES							Estimated average burden hours per response				
Form 5 obligatio may cor <i>See</i> Inst 1(b).	tinue. Section 17	(a) of the	Public U	Jtility H	Iol		pany	Act of 1	Act of 1934, 935 or Section			
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> Nada Hany									5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction					(Check	all applicable)		
C/O GLU MOBILE INC., 875 HOWARD STREET, SUITE 100			(Month/Day/Year) 06/13/2018					-	_X_Director10% Owner Officer (give titleOther (specify below)below)			
(Street) 4. If A			4. If Am	Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
Filed			Filed(Mo						Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
SAN FRAN	NCISCO, CA 941	03						Ē	erson	sie man one Rep	orting	
(City)	(State)	(Zip)	Tab	ole I - No	on-l	Derivative S	Securi	ties Acqui	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	action Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)			ctio 8)	4. Securitie por Disposed (Instr. 3, 4 a)	d of (Ê))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	Amount	(D)	Price	(Instr. 5 and 4)		G	
Common Stock	06/13/2018			S <u>(1)</u>		9,093	D	\$ 6.0013 (2)	0	Ι	See Footnote (3)	
Common Stock	06/13/2018			S <u>(1)</u>		457,364	D	\$ 6.0013 (2)	0	Ι	See Footnote (4)	
Common Stock									190,200	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

1

Edgar Filing: Nada Hany - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Nada Hany C/O GLU MOBILE INC. 875 HOWARD STREET, SUITE 100 SAN FRANCISCO, CA 94103	Х					

Signatures

/s/ Hany Nada 06/13/2018 <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales were effected pursuant to a trading plan designed to comply with Rule 10b5-1 of the Securities Exchange Act of 1934 established on August 23, 2017 by Granite Global Ventures II L.P. ("Granite Global") and GGV II Entrepreneurs Fund L.P. ("GGV").

Represents a weighted average price, as this transaction was executed in multiple trades at prices ranging from \$6.00 to \$6.0150. The (2) reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information

regarding the number of shares purchased at each separate price.

Represents shares held by GGV. Mr. Nada is a managing director of the general partner of GGV, which has six other individual managing directors, and shares voting and investment power with respect to the shares held by this entity with the other managing directors of the general partner. Mr. Nada disclaims beneficial ownership of these shares except to the extent of his individual pecuniary interest in this entity.

(4) Represents shares held by Granite Global. Mr. Nada is a managing director of the general partner of Granite Global, which has six other individual managing directors, and shares voting and investment power with respect to the shares held by these entities with the other

Edgar Filing: Nada Hany - Form 4

managing directors of the general partner. Mr. Nada disclaims beneficial ownership of these shares except to the extent of his individual pecuniary interest in this entity.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.