BOLT WILLIAM J

Form 4 May 09, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **BOLT WILLIAM J**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

ABIOMED INC [ABMD]

3. Date of Earliest Transaction

(Check all applicable)

(Last)

(First) (Middle)

(Month/Day/Year)

Director _X__ Officer (give title

10% Owner _ Other (specify

C/O ABIOMED, INC., 22 CHERRY

05/07/2018

SVP, QA and Regulatory Affairs

HILL DRIVE

(Street)

(State)

4. If Amendment, Date Original

(7:-

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting

DANVERS, MA 01923

(C:tr.)

Person

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acquir	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		sed of 4 and (A) or	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.01 par	05/07/2018		M(5)	1,666 (5)	A	\$ 99.62	66,414	D	
Common Stock, \$.01 par	05/07/2018		M(5)	4,000 (5)	A	\$ 66.25	70,414	D	
Common Stock, \$.01 par	05/07/2018		M(5)	5,000 (5)	A	\$ 21.55	75,414	D	
Common Stock,	05/07/2018		M(5)	5,000 (5)	A	\$ 23.15	80,414	D	

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\$.01 par							
Common Stock, \$.01 par	05/07/2018	S(6)	1,545 (6)	D	\$ 345.2054 <u>(7)</u>	78,869	D
Common Stock, \$.01 par	05/07/2018	S(6)	2,655 (6)	D	\$ 346.198 (8)	76,214	D
Common Stock, \$.01 par	05/07/2018	S(6)	5,408 (6)	D	\$ 347.0193 <u>(9)</u>	70,806	D
Common Stock, \$.01 par	05/07/2018	S(6)	2,713 (6)	D	\$ 347.9809 (10)	68,093	D
Common Stock, \$.01 par	05/07/2018	S(6)	7,901 (6)	D	\$ 349.0553 (11)	60,192	D
Common Stock, \$.01 par	05/07/2018	S(6)	1,000 (6)	D	\$ 350.007 (12)	59,192	D
Common Stock, \$.01 par	05/07/2018	S(6)	1,368 (6)	D	\$ 350.9391 (13)	57,824	D
Common Stock, \$.01 par	05/07/2018	S <u>(6)</u>	200 (6)	D	\$ 352.16 (14)	57,624	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securities Acquired (A) or Disposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(D) (Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount

Number

(9-02)

								Shares
Stock Option (Right to Buy) (2)	\$ 23.15	05/07/2018	M <u>(5)</u>	5,000 (5)	05/14/2014(1)	05/14/2023	Common stock	5,000
Stock Option (Right to Buy) (2)	\$ 21.55	05/07/2018	M(5)	5,000 (5)	05/14/2015(3)	05/14/2024	Common Stock	5,000
Stock Option (Right to Buy) (2)	\$ 66.25	05/07/2018	M <u>(6)</u>	4,000 (5)	05/13/2016(3)	05/13/2025	Common Stock	4,000
Stock Option (Right to Buy) (4)	\$ 99.62	05/07/2018	M <u>(5)</u>	1,666 (5)	05/24/2017(3)	05/24/2026	Common Stock	1,666
Stock Option (Right to Buy) (4)	\$ 134.51				05/15/2018(3)	05/15/2027	Common Stock	0

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

BOLT WILLIAM J C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923

SVP, QA and Regulatory Affairs

Signatures

/s/ Stephen C. McEvoy (by power of attorney) 05/09/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (2) Grant to reporting person of this option to buy the number of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (3) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (4) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2015 Omnibus Incentive Plan.

Reporting Owners 3

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- (5) Exercise of stock options pursuant to reporting owners 10b5-1 plan.
- (6) Sale of common stock pursuant to reporting owner's 10b5-1 plan.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$344.5600 and \$345.4900. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$345.9500 and \$346.5500. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between (9) \$346.5600 and \$347.5500. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between (10) \$347.5600 and \$348.3800. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between (11) \$348.5600 and \$349.5000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between (12) \$349.6600 and \$350.3900. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between (13) \$350.7300 and \$351.5000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between (14) \$351.9700 and \$352.3500. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.