Edgar Filing: Sotos Christopher S - Form 4

Sotos Christo Form 4 March 05, 20 FORM Check thi if no long subject to Section 1 Form 4 or Form 5 obligation may contr <i>See</i> Instru 1(b).	018 J 4 UNITED S s box ger STATEM 6. r Filed pure inue. Section 17(a	IENT O suant to s	Was F CHAN Section 16	hington, GES IN I SECURI 6(a) of the ility Hold	D.C. 205 BENEFI ITIES Securiti ing Com	549 CIAI es Ex pany	L OW cchang Act o	COMMISSION NERSHIP OF ge Act of 1934, f 1935 or Sectic 40	OMB Number: Expires: Estimated a burden hou response	irs per		
Sotos Christopher S Symbo			Symbol	Name and eld, Inc. [Гradin	g	5. Relationship of Reporting Person(s) to Issuer				
	(Last) (First) (Middle) 3. Date of (Month/			ate of Earliest Transaction nth/Day/Year) 01/2018				(Check all applicable) X Director 10% Owner X Officer (give title Other (specify below) President and CEO				
PRINCETO					nendment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)		(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	Person quired, Disposed o	f. or Beneficial	llv Owned		
1.Title of Security (Instr. 3)	1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if		emed on Date, if	 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of			
Class C Common Stock, par value \$.01 per share	03/01/2018			Code V	Amount 3,526	or (D) A	Price	(Instr. 3 and 4) 132,247 (2)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Sotos Christopher S - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Under Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Sotos Christopher S NRG YIELD, INC. 804 CARNEGIE CENTER PRINCETON, NJ 08540	Х		President and CEO					
Signatures								
/s/ Brian Curci, by Power of Attorney		03/05/201	8					

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Represents dividend equivalent rights accrued on the Reporting Person's Restricted Stock Units ("RSUs") and Relative Performance
 (1) Stock Units ("RPSUs"), which become exercisable proportionately with the RSUs and RPSUs to which they relate and may only be settled in Class C Common Stock of NRG Yield, Inc. as determined by the RSU or RPSU to which they relate.
- (2) Includes 13,306 dividend equivalent rights that may only be settled in Class C Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.