| Minerd B. Sc   | cott  |  |   |  |   |                  |   |  |   |                          |  |
|--|---|--|---|--|---|------------------|---|--|---|--------------------------|--|
| Form 4   |   |  |   |  |   |                  |   |  |   |                          |  |
| December 29  |   |  |   |  |   |                  |   |  |   |                          |  |
| FORM   |   | SECURITIES AND EXCHANGE COMMIS<br>Washington, D.C. 20549 |   |  |   |                  | OMB AF<br>OMB<br>Number:  | 9PROVAL<br>3235-0287   |   |                          |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 or<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Section 16(a) of the Securities Exchange Act of 1934,<br>Public Utility Holding Company Act of 1935 or Section<br>of the Investment Company Act of 1940 |  |   |  |   |                  | Expires: January 31<br>2005<br>Estimated average<br>burden hours per<br>response 0.5  |  |   |                          |  |
| (Print or Type R   | Responses)  |  |   |  |   |                  |   |  |   |                          |  |
| Minerd B. Scott Sym<br>GU  |   |  | Symbol<br>GUGGI                           | r Name <b>and</b><br>ENHEIM<br>TUNITII                       | STRATI  | EGIC             | _   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |   |                          |  |
| (Mont  |   |  | (Month/E                                  | Date of Earliest Transaction<br>Month/Day/Year)<br>2/28/2017 |   |                  |   | Director 10% Owner<br>Officer (give titleX Other (specify<br>below) below)<br>Chief Investment Officer             |   |                          |  |
|  |   |  | nendment, Date Original<br>onth/Day/Year) |  |   |                  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |   |                          |  |
| CHICAGO,   | IL 60606  |  |   |  |   |                  |   | Person   | ore than One Re   | porting                  |  |
| (City)   | (State)   | (Zip)  | Tabl                                      | e I - Non-E  | Derivative S  | Securi           | ties Acqu   | uired, Disposed of   | , or Beneficial   | ly Owned                 |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Da<br>(Month/Day/Year  | r) Execution<br>any                                      | ned                                       | 3.   | 4. Securit<br>or(A) or Dis<br>(Instr. 3, 4)<br>Amount | ies Ac<br>sposed | quired<br>of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect |  |
| Common<br>Stock  | 12/28/2017  |  |   | S  | 32,143 (1)  | D                | \$<br>21.65   | 2,000  | D   |                          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

**Reporting Owners** 

## Edgar Filing: Minerd B. Scott - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. 6. Date Exe<br>ionNumber Expiration<br>of (Month/Day<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | nt of<br>lying                         | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|---|--|---|--|
|   |   |   | Code V                                 | (Instr. 3,<br>4, and 5)<br>(A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address  | ress       |               |         |                  |            |  |  |  |
|---|------------|---------------|---------|------------------|------------|--|--|--|
| 1 8   | Director   | 10% Owner     | Officer | Other            |            |  |  |  |
| Minerd B. Scott<br>227 W. MONROE STREET<br>7TH FLOOR<br>CHICAGO, IL 60606 |            |               |         | Chief Investment | t Officer  |  |  |  |
| Signatures  |            |               |         |                  |            |  |  |  |
| /s/ B. Scott Minerd by Mark E   | . Mathiase | en Pursuant t | o Power | of               |            |  |  |  |
| Attorney  |            |               |         |                  | 12/29/2017 |  |  |  |
| <u>**</u> Signature of Reporting Person                                   |            |               |         |                  |            |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Mr. Minerd disposed of 32,143 shares through donation of those shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date