#### Edgar Filing: Miles Patrick - Form 4

Miles Patrick Form 4 October 04, 20 <b>FORM</b> Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	4 UNITED STATES	Washin F CHANGE SE Section 16(a)	gton, D.C S IN BEN CURITIE of the Sec Holding (	. 20549 EFICIA S Compan	<b>AL OV</b> Exchan	<b>VNERSHIP OF</b> age Act of 1934, of 1935 or Sectior	OMB Number: Expires: Estimated a burden hou response	irs per			
Miles Patrick Symbol			ne <b>and</b> Ticke oldings, In			Issuer	5. Relationship of Reporting Person(s) to Issuer				
(Last) C/O ALPHA INC., 5818 E	(First) (Middle) 3. Date of Earliest Tran (Month/Day/Year)			-	]	(Check all applicable) X_ Director 10% Owner X_ Officer (give title Other (specify below) below) Executive Chairman					
CARLSBAD	4. If Amendme Filed(Month/Da		ginal		Applicable Line) _X_ Form filed by O	_X_ Form filed by One Reporting Person Form filed by More than One Reporting					
(City)	(State) (Zip)	Table I -	Non-Deriva	tive Secu	rities A	cquired, Disposed of,	, or Beneficial	lly Owned			
	2. Transaction Date 2A. Dee Month/Day/Year) Execution any (Month/	n Date, if Tran Cod	nsactionor Di		(D)	<ul> <li>(A) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)</li> <li>. (Instr. 3 and 4)</li> </ul>	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Stock	10/02/2017	Coc		nount 0,000		0 1,000,000	D				
Units <u>(1)</u> Common Stock						250,000	Ι	Shares owned by MOM, LLC. Reporting Person is a managing			

member of	
MOM,	
LLC.	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Miles Patrick C/O ALPHATEC SPINE, INC. 5818 EL CAMINO REAL CARLSBAD, CA 92008	Х		Executive Chairman				
Signatures							
/s/ Patrick Miles 10/0	)4/2017						

<u>\*\*</u>Signature of Reporting Person

#### Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The RSUs will vest in equal annual installments on each of the first three anniversaries of October 2, 2017

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.