

TOMKINSON JOSEPH R

Form 4

August 21, 2017

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
TOMKINSON JOSEPH R

2. Issuer Name **and** Ticker or Trading
Symbol
IMPAC MORTGAGE HOLDINGS
INC [IMH]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
19500 JAMBOREE RD
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
08/18/2017

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, Chief Exec. & Dir.

IRVINE, CA 92612

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock					769	D			
Common Stock					5,955	I	401K		
Common Stock					1,130	I	IRA		
Common Stock	08/18/2017	08/18/2017	M	35,000	A	\$ 5.39	250,400	I	Trust
Common Stock	08/18/2017	08/18/2017	M	5,000	A	\$ 0.53	255,400	I	Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Se (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 0.53	08/18/2017	08/18/2017	M	5,000	06/09/2010 ⁽¹⁾ 06/09/2019	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.73					12/03/2011 ⁽¹⁾ 12/03/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 13.81					11/27/2013 ⁽¹⁾ 11/27/2022	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 10.65					07/23/2014 ⁽²⁾ 07/23/2023	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 5.39	08/18/2017	08/18/2017	M	35,000	07/22/2015 ⁽³⁾ 07/22/2024	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 20.5					07/21/2016 ⁽⁴⁾ 07/21/2025	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 17.4					07/19/2017 ⁽⁵⁾ 07/19/2026	Common Stock

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

TOMKINSON JOSEPH R
19500 JAMBOREE RD
IRVINE, CA 92612

X

Chairman, Chief Exec. & Dir.

Signatures

Joseph
Tomkinson

08/18/2017

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are fully vested
- (2) These options vest annually in 1/3 increments beginning on July 23, 2014.
- (3) These options vest annually in 1/3 increments beginning on July 22, 2015.
- (4) These options vest annually in 1/3 increments beginning on July 21, 2016.
- (5) These options vest annually in 1/3 increments beginning on July 19, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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