

TENNANT CO
Form 4
March 02, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Sonnenberg Steven Alan

(Last) (First) (Middle)

8000 NORMAN CENTER
DRIVE, #1200

(Street)

BLOOMINGTON, MN 55437

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TENNANT CO [TNC]

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/28/2017		M		1,400	A	\$ 32.17
							14,252.719 (1)
Common Stock	02/28/2017		S		1,400	D	\$ 72.1928 (2)
Comon Stock	03/01/2017		M		600	A	\$ 32.17
							13,452.719
Common Stock	03/01/2017		M		2,000	A	\$ 35.61
							15,452.719
Common Stock	03/01/2017		M		2,000	A	\$ 19.54
							17,452.719

Edgar Filing: TENNANT CO - Form 4

Common Stock 03/01/2017 S 4,600 D \$ 72.1613 12,852.719 D
(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy)	\$ 32.17	02/28/2017		M	1,400	05/04/2010 05/04/2017	Common Stock	1,400
Stock Option (right to buy)	\$ 32.17	03/01/2017		M	600	05/04/2010 05/04/2017	Common Stock	600
Stock Option (right to buy)	\$ 35.61	03/01/2017		M	2,000	04/29/2011 04/29/2018	Common Stock	2,000
Stock Option (right to buy)	\$ 19.54	03/01/2017		M	2,000	05/12/2012 05/12/2019	Common Stock	2,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Sonnenberg Steven Alan
8000 NORMAN CENTER DRIVE X
#1200
BLOOMINGTON, MN 55437

Signatures

/s/ Amy M. Jensen on behalf of Steven A.
Sonnenberg

03/02/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This number takes into account the current transaction reported, plus .729 share previously acquired under the dividend reinvestment plan.
Reflects the weighted average price of 1,400 shares of Common Stock of Tennant Company sold by the reporting person in multiple transactions on February 28, 2017 with sale prices ranging from \$72.00 to \$72.50. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
 - (2) Reflects the weighted average price of 4,600 shares of Common Stock of Tennant Company sold by the reporting person in multiple transactions on March 1, 2017 with sale prices ranging from \$71.50 to \$73.0750. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
 - (3) Reflects the weighted average price of 4,600 shares of Common Stock of Tennant Company sold by the reporting person in multiple transactions on March 1, 2017 with sale prices ranging from \$71.50 to \$73.0750. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.