CBOE Holdings, Inc. Form 4 March 30, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Check this box if no longer subject to Section 16.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

3235-0287 Number: January 31, Expires: 2005

response...

Estimated average burden hours per 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Provost Edward L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

CBOE Holdings, Inc. [CBOE]

(First) (Middle) (Last)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner

C/O CBOE HOLDINGS, INC., 400

03/28/2016

X\_ Officer (give title Other (specify below) below)

(Check all applicable)

SOUTH LASALLE STREET

(Street)

(State)

(Zip)

President and COO

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

D

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting Person

CHICAGO, IL 60605

(City)

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported

(A) Transaction(s) or (Instr. 3 and 4)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Code V Amount (D) Price

Common 03/28/2016 Stock

 $S^{(1)}$ 5,047 D \$ 65 67,287

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: CBOE Holdings, Inc. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                     | 5. 6. Date Exercisable and |       | 7. Title and       |       | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------------------|----------------------------|-------|--------------------|-------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                        | Expiration D               | ate   | Amount             | of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of                     | (Month/Day                 | Year) | Underlyi           | ing   | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative             |                            |       | Securities         |       | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities<br>Acquired |                            |       | (Instr. 3 and 4)   |       |             | Own    |
|             | Security    |                     |                    |                   |                        |                            |       |                    |       | Follo       |        |
|             |             |                     |                    |                   | (A) or                 |                            |       |                    |       |             | Repo   |
|             |             |                     |                    |                   | Disposed               |                            |       |                    |       |             | Trans  |
|             |             |                     |                    |                   | of (D)                 |                            |       |                    |       |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3,             |                            |       |                    |       |             |        |
|             |             |                     |                    |                   | 4, and 5)              |                            |       |                    |       |             |        |
|             |             |                     |                    |                   |                        |                            |       | ٨                  | mount |             |        |
|             |             |                     |                    |                   |                        |                            |       |                    |       |             |        |
|             |             |                     |                    |                   |                        | Date Expiration            |       | or<br>Title Number |       |             |        |
|             |             |                     |                    |                   |                        | Exercisable                | Date  | of                 |       |             |        |
|             |             |                     |                    | Code V            | (A) (D)                |                            |       |                    | hares |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Provost Edward L C/O CBOE HOLDINGS, INC. 400 SOUTH LASALLE STREET CHICAGO, IL 60605

President and COO

## **Signatures**

/s/ Patrick Sexton, attorney-in-fact

03/30/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 25,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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