

ALERE INC.
Form 4
September 02, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Gladwell Mark

(Last) (First) (Middle)

51 SAWYER ROAD, SUITE 200

(Street)

WALTHAM, MA 02453

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ALERE INC. [ALR]

3. Date of Earliest Transaction (Month/Day/Year)
08/31/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Senior VP, Global Operations

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/31/2015		M		5,000	A	0.00
Common Stock	08/31/2015		F		2,349	D	\$ 51.97
Common Stock	08/31/2015 ⁽³⁾		M		3,000	A	\$ 19.15
Common Stock	08/31/2015 ⁽³⁾		M		2,500	A	\$ 18.91
Common Stock	08/31/2015 ⁽³⁾		M		5,000	A	\$ 27.97

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Common Stock	08/31/2015 ⁽³⁾	M	12,263	A	\$ 26.06	25,414	D
Common Stock	08/31/2015 ⁽³⁾	M	4,750	A	\$ 25.68	30,164	D
Common Stock	08/31/2015 ⁽³⁾	S	27,513	D	\$ <u>(10)</u>	2,651	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	08/31/2015		M	5,000	<u>(2)</u> <u>(2)</u>	Common Stock 5,000
Employee Stock Option (Right to Buy)	\$ 19.15	08/31/2015 ⁽³⁾		M	3,000	<u>(4)</u> 10/31/2018	Common Stock 3,000
Employee Stock Option (Right to Buy)	\$ 18.91	08/31/2015 ⁽³⁾		M	2,500	<u>(5)</u> 12/31/2018	Common Stock 2,500
Employee Stock Option (Right to Buy)	\$ 27.97	08/31/2015 ⁽³⁾		M	5,000	<u>(6)</u> 08/31/2020	Common Stock 5,000
	\$ 26.06	08/31/2015 ⁽³⁾		M	12,263	<u>(7)</u> 10/31/2021	12,263

Employee Stock Option (Right to Buy)								Common Stock	
Employee Stock Option (Right to Buy)	\$ 25.68	08/31/2015 ⁽³⁾	M	4,750	<u>(8)</u>	04/30/2023		Common Stock	4,750

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gladwell Mark 51 SAWYER ROAD, SUITE 200 WALTHAM, MA 02453			Senior VP, Global Operations	

Signatures

/s/ Douglas Barry,
Attorney-in-Fact

09/02/2015

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Alere common stock.
- (2) On August 31, 2014, the reporting person was granted 15,000 restricted stock units, which vest as follows: 5,000 on the first anniversary of the grant date (8/31/15); 5,000 on the second anniversary of the grant date (8/31/16); and 5,000 on the third anniversary of the grant date (8/31/17).
- (3) Represents same day exercise and sale of stock options.
- (4) Option vested in four equal installments on 10/31/09, 10/31/10, 10/31/11 and 10/31/12.
- (5) Option vested in four equal installments on 12/31/09, 12/31/10, 12/31/11 and 12/31/12.
- (6) Option vested in four equal installments on 8/31/11, 8/31/12, 8/31/13 and 8/31/14.
- (7) Option will vest in four equal installments on 10/31/12, 10/31/13, 10/31/14 and 10/31/15.
- (8) Option will vest in four equal installments on 4/30/14, 4/30/15, 4/30/16 and 4/30/17.
- (9) This derivative security does not have a price.
- (10) The price reported is a weighted average price. These shares were sold in approximately 253 separate transactions at prices ranging from \$51.75 to \$52.556, inclusive. The reporting person hereby undertakes to provide to the SEC, the issuer or any stockholder of the issuer, upon request, the full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.