Edgar Filing: GUGGENHEIM STRATEGIC OPPORTUNITIES FUND - Form 4

GUGGENHEIM STRATEGIC OPPORTUNITIES FUND

Form 4

November 26, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * FRIEDRICH ROMAN III

2. Issuer Name and Ticker or Trading

Issuer

Symbol

GUGGENHEIM STRATEGIC OPPORTUNITIES FUND [GOF]

(Check all applicable)

5. Relationship of Reporting Person(s) to

3. Date of Earliest Transaction (Month/Day/Year)

below)

10% Owner _X_ Other (specify Officer (give title below)

18051 CIRCA ORIENTE

(First)

11/25/2013

_X__ Director

Trustee 6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

RANCHO SANTA FE, CA 92067

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities Acquired

(A)

A

21.482

Transaction(A) or Disposed of (D)

5. Amount of Securities Beneficially

Owned

7. Nature of Ownership Indirect Form: Direct Beneficial

Ownership

(Instr. 4)

(Month/Day/Year) (Instr. 8)

Execution Date, if

Code (Instr. 3, 4 and 5)

> Following Reported Transaction(s)

Indirect (I) (Instr. 4)

(D) or

Common Stock

Security

(Instr. 3)

11/25/2013

Code V Amount (D) 100

(Instr. 3 and 4) Price

1,052

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)			7. Title a Amount of Underlying Securities (Instr. 3 a	of ng s	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
FRIEDRICH ROMAN III							
18051 CIRCA ORIENTE	X			Trustee			
RANCHO SANTA FE, CA 92067							

Signatures

/s/ Roman Friedrich III by Mark E. Mathiasen Pursuant to Power of Attorney

11/26/2013 Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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