

Sullivan James A.  
Form 4  
March 11, 2013

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sullivan James A.

2. Issuer Name and Ticker or Trading Symbol  
CALGON CARBON CORPORATION [CCC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
400 CALGON CARBON DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
02/28/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
SVP - Americas

PITTSBURGH, PA 15205

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |   |
| Common Stock <u>(1)</u>         | 02/28/2013                           |  | A                              |   | 2,619 A \$ 0  | 29,109   | D   |
| Common Stock <u>(2)</u>         | 03/01/2013                           |  | F                              |   | 200 D \$ 16.97  | 28,909   | D   |
| Common Stock <u>(2)</u>         | 03/02/2013                           |  | F                              |   | 273 D \$ 16.985   | 28,636   | D   |
| Common Stock <u>(2)</u>         | 03/04/2013                           |  | F                              |   | 240 D \$ 17   | 28,396   | D   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option Right to Buy         | \$ 17.17   | 02/28/2013                           |  | A                              | 9,972   | <u>(3)</u> 02/27/2020                                    | Common Stock  | 9,972                         |

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |                |       |
|--|---------------|-----------|----------------|-------|
|  | Director      | 10% Owner | Officer        | Other |
| Sullivan James A.<br>400 CALGON CARBON DRIVE<br>PITTSBURGH, PA 15205 |               |           | SVP - Americas |       |

## Signatures

/s/ Richard D. Rose,  
Attorney-in-Fact  
03/08/2013  
\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of Time Vested Restricted Stock under Calgon Carbon Corporation's 2008 Equity Incentive Plan, a Rule 16B-3 Plan.
- (2) Disposition of shares of Company to pay withholding on vesting of restricted shares.
- (3) Grant of Stock Options under Calgon Carbon Corporation's 2008 Equity Incentive Plan, a Rule 16b-3 Plan. The options become exercisable in two equal installments on February 27, 2014 and February 27, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: Sullivan James A. - Form 4

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