**ADTRAN INC** Form 4/A March 13, 2007

## FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Symbol

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

ADTRAN INC [ADTN]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

3.

11/03/2006

11/06/2006

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

LOCKE P STEVEN

(First) (Middle)

901 EXPLORER BLVD.

(Street)

**HUNTSVILLE, AL 35806-2807** 

(City)

(State) (Zip)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if (Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

(A)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Issuer

below)

Person

5. Amount of

Securities

Owned

Beneficially

Following

Transaction(s)

Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Director

Applicable Line)

X\_ Officer (give title

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amo Underlying Secu (Instr. 3 and 4)

1

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP Marketing -Carrier Networks

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

6. Ownership

Form: Direct

(D) or Indirect Beneficial

\_X\_ Form filed by One Reporting Person

(I)

(Instr. 4)

response... 0.5

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

SEC 1474

(9-02)

Indirect

### Edgar Filing: ADTRAN INC - Form 4/A

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code	V	(A) (D)	) Date Exercisable	Expiration Date	Title	An or Nu of Sh
Incentive stock option (right to buy)	\$ 22.53	11/03/2006		A		3,606	11/03/2007(1)	11/03/2016	Common stock	3
Non-qualified stock option (right to buy)	\$ 22.53	11/03/2006		A		6,394	11/03/2007(1)	11/03/2016	Common stock	6

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

LOCKE P STEVEN 901 EXPLORER BLVD. HUNTSVILLE, AL 35806-2807

**VP Marketing -Carrier Networks** 

## **Signatures**

Cathy Bartels for P. Steven

Locke 03/13/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in four equal annual installments beginning on the first anniversary of the date of the grant as shown in column 6.

#### **Remarks:**

This Form 4 is being amended to correct the transaction date, date exercisable and expiration date, which were reported incorr Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

a currently valid OMB number.

Reporting Owners 2