**GUESS INC** Form 5 March 14, 2014

FORM 5

**OMB APPROVAL** 

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0362 Number: January 31,

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

Expires: 2005 Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL burden hours per OWNERSHIP OF SECURITIES

response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer MARCIANO PAUL Symbol **GUESS INC [GES]** (Check all applicable) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (Month/Day/Year) \_X\_ Director \_X\_\_ 10% Owner \_X\_\_ Officer (give title Other (specify 02/01/2014 below) below) C/O GUESS?, INC., 1444 SOUTH Vice Chairman and CEO **ALAMEDA STREET** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year)

LOS ANGELES, Â CAÂ 90021

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(check applicable line)

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Execution Date, if Transaction Indirect Security (Month/Day/Year) (A) or Disposed of Securities Ownership (Instr. 3) Code (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Direct (D) Ownership of Issuer's or Indirect (Instr. 4) Fiscal Year (I) (A) (Instr. 3 and (Instr. 4) or Price Amount (D) Common by Exempt Â 10/10/2013 G \$0 105,977 Ι 53,560 Α Stock Gift Trust (1) by Common 10/10/2013 G 71,184 A \$0 71,184 I Nonexempt Stock Gift Trust (2) by Common Â 10/14/2013 G 6,000 \$0 77,184 Ι Nonexempt Α Stock Gift Trust (2)

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Common Stock	Â	Â	Â	Â	Â	Â	67,725 <u>(3)</u>	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	6,874,390 (3)	I	by Paul Marciano Trust (4)
Common Stock	Â	Â	Â	Â	Â	Â	33,988 (3)	I	by G4 GRAT (5)
Common Stock	Â	Â	Â	Â	Â	Â	170,666	I	by G Financial Holdings, LLC (6)
Common Stock	Â	Â	Â	Â	Â	Â	1,362,633	Ι	by G Financial Holdings II, LLC (7)
Common Stock	Â	Â	Â	Â	Â	Â	1,164,971	I	by MFH II, LLC (8)
Common Stock	Â	Â	Â	Â	Â	Â	1,481,700	I	by NRG Capital Holdings, LLC (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ant of rlying	8. Price of Derivative Security (Instr. 5)
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

MARCIANO PAUL
C/O GUESS?, INC.
1444 SOUTH ALAMEDA STREET
LOS ANGELES, CAÂ 90021

A Vice
Chairman and Â
CEO

## **Signatures**

/s/ Jason T. Miller (attorney-in-fact) 03/14/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held by the Exempt Gift Trust under the Next Step Trust, of which the reporting person is sole trustee. The reporting person disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest therein.
- (2) Shares are held by the Nonexempt Gift Trust under the Next Step Trust, of which the reporting person is sole trustee. The reporting person disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest therein.
- (3) Reflects changes in form of ownership that are exempt pursuant to Rule 16a-13 under the Securities Exchange Act of 1934 since there was no change in pecuniary interest.
- (4) Shares are held by the Paul Marciano Trust dated 2/20/86, a revocable trust of which the reporting person is the sole trustee and sole beneficiary and has the exclusive pecuniary interest.
- (5) Shares are held by the G4 GRAT, a grantor retained annuity trust of which the reporting person is the sole trustee and has exclusive pecuniary interest.
- (6) Shares are held by G Financial Holdings, LLC which is indirectly owned by the reporting person.
- (7) Shares are held by G Financial Holdings II, LLC which is indirectly owned by the reporting person.
- (8) Shares are held by Marciano Financial Holdings II, LLC in accounts specifically allocated to trusts for the benefit of the reporting person and his minor children.
- (9) Shares are held by NRG Capital Holdings, LLC in accounts specifically allocated to trusts for the benefit of the reporting person and his minor chidren

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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