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KATY INDUSTRIES INC  
Form 8-K  
August 13, 2002

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d)  
OF THE SECURITIES EXCHANGE ACT OF 1934

August 13, 2002  
Date of report (Date of Earliest Event Reported)

KATY INDUSTRIES, INC.  
(Exact name of Registrant as specified in Its Charter)

DELAWARE (State or other jurisdiction of incorporation)	1-5558 (Commission File Number)	75-1277589 (I.R.S. Employer Identification No.)
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765 Straits Turnpike, Middlebury, Connecticut 06762  
(Address of principal executive offices and zip code)

(203) 598-0397  
Registrant's telephone number, including area code

Item 7. Exhibits.

(c) Exhibits

Number	Exhibit
99.1	CEO Certification pursuant to 18 U.S.C. Section 1350, as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002
99.2	CFO Certification pursuant to 18 U.S.C. Section 1350, as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002

Item 9. Regulation FD Disclosure

On August 13, 2002, the registrant filed with the Commission its Quarterly Report on Form 10-Q for the period ended June 30, 2002 accompanied by the certifications of C. Michael Jacobi, the registrant's chief executive officer, and Amir Rosenthal, the registrant's chief financial officer, required pursuant to 18 U.S.C. ss. 1350, as adopted pursuant to ss. 906 of the Sarbanes-Oxley Act of 2002. Conformed copies of the certifications are attached hereto as Exhibits 99.1 and 99.2.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the

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undersigned hereunto duly authorized.

KATY INDUSTRIES, INC.

Date: August 13, 2002

By: /s/ Amir Rosenthal

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Amir Rosenthal  
Vice President, Chief Financial Officer,  
General Counsel and Secretary

LIST OF EXHIBITS

- 99.1 CEO Certification pursuant to 18 U.S.C. Section 1350, as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002
- 99.2 CFO Certification pursuant to 18 U.S.C. Section 1350, as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002