

Edgar Filing: AVALON HOLDINGS CORP - Form SC 13G

AVALON HOLDINGS CORP  
Form SC 13G  
February 14, 2014

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

SCHEDULE 13G  
Under the Securities and Exchange Act of 1934  
(Amendment No. )

Avalon Holdings Corporation

(Name of Issuer)

Class A Common Stock

(Title of Class of Securities)

05343P109

(CUSIP Number)

December 31, 2013

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to  
which this Schedule is filed:

☐ Rule 13d-1 (b)  
☒ Rule 13d-1 (c)  
☐ Rule 13d-1 (d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act, but shall be subject to all other provisions of the Act (however, see the Notes.)

CUSIP NO. 05343P109 13G

1 Name of Reporting Person / IRS Identification Number:  
Advisory Research Microcap Value Fund / 36-4440337

2 Check the Appropriate Box if a Member of a Group (a) ☐  
(b) ☐

3 SEC Use Only

4      Citizenship or Place of Organization  
Illinois

9 Aggregate Amount Beneficially Owned by Each Reporting Person  
375,703 Shares

10 Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares [ ]

11	Percent of Class Represented by Amount in Row (9)
	11.8%

12      Type of Reporting Person  
PN

2

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- Item 4      Ownership
- (a)    Amount Beneficially Owned:  
         Advisory Research, Inc. 375,703 Shares
- (b)    Percent of Class                      11.8%
- (c)    Number of shares as to which reporting person has:
- |       |                          |         |        |
|-------|--------------------------|---------|--------|
| (i)   | Sole Voting Power        | 0       | Shares |
| (ii)  | Shared Voting Power      | 375,703 | Shares |
| (iii) | Sole Dispositive Power   | 0       | Shares |
| (iv)  | Shared Dispositive Power | 375,703 | Shares |
- Item 5      Ownership of Five Percent or Less of a Class:  
If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [ ]
- Item 6      Ownership of More than Five Percent on Behalf of Another Person:    Not Applicable
- Item 7      Identification and Classification of the Subsidiary Which Acquired the Security being Reported on by the Parent Holding Company: Not Applicable
- Item 8      Identification and Classification if Members of the Group:    Not Applicable
- Item 9      Notice of Dissolution of Group:    Not Applicable
- Item 10     Certification
- By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of such securities and were not acquired and are not held in connection with or as a participant in any transaction having such purposes or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

02/10/2014

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Date

ADVISORY RESEARCH MICROCAP VALUE FUND LP  
By: Advisory Research, Inc. its General  
Partner

By /s/ Christopher D. Crawshaw

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Signature

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Christopher D. Crawshaw  
President, CEO

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Name/Title