

NEWMONT MINING CORP /DE/  
Form 4  
November 08, 2004

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KARRAS DONALD G

2. Issuer Name and Ticker or Trading Symbol  
NEWMONT MINING CORP /DE/  
[NEM]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
1700 LINCOLN STREET  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/04/2004

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President

DENVER, CO 80203

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, \$1.60 par value	11/04/2004		M		3,120	A	\$ 39.94
Common Stock, \$1.60 par value	11/04/2004		S		3,100	D	\$ 48.28
Common Stock, \$1.60 par value	11/04/2004		S		20	D	\$ 48.2

Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

Common Stock, \$1.60 par value	11/04/2004	M	4,000	A	\$ 31.75	23,051	D
Common Stock, \$1.60 par value	11/04/2004	S	4,000	D	\$ 48.23	19,051	D
Common Stock, \$1.60 par value	11/04/2004	M	5,250	A	\$ 28.84	24,301	D
Common Stock, \$1.60 par value	11/04/2004	S	4,914	D	\$ 48.15	19,387	D
Common Stock, \$1.60 par value	11/04/2004	S	336	D	\$ 48.23	19,051	D
Common Stock, \$1.60 par value	11/04/2004	M	7,857	A	\$ 28.32	26,908	D
Common Stock, \$1.60 par value	11/04/2004	S	5,000	D	\$ 48.16	21,908	D
Common Stock, \$1.60 par value	11/04/2004	S	1,900	D	\$ 48.27	20,008	D
Common Stock, \$1.60 par value	11/04/2004	S	957	D	\$ 48.22	19,051	D
Common Stock, \$1.60 par value	11/04/2004	M	15,000	A	\$ 18.19	34,051	D
Common Stock, \$1.60 par value	11/04/2004	S	9,800	D	\$ 48.21	24,251	D
	11/04/2004	S	5,000	D		19,251	D

Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

Common Stock, \$1.60 par value					\$ 48.24		
Common Stock, \$1.60 par value	11/04/2004	S	200	D	\$ 48.23	19,051	D
Common Stock, \$1.60 par value	11/04/2004	M	3,125	A	\$ 23.67	22,176	D
Common Stock, \$1.60 par value	11/04/2004	S	3,125	D	\$ 48.2	19,051	D
Common Stock, \$1.60 par value	11/04/2004	M	1,562	A	\$ 23.99	20,613	D
Common Stock, \$1.60 par value	11/04/2004	S	655	D	\$ 48.2	19,958	D
Common Stock, \$1.60 par value	11/04/2004	S	243	D	\$ 48.22	19,715	D
Common Stock, \$1.60 par value	11/04/2004	S	364	D	\$ 48.23	19,351	D
Common Stock, \$1.60 par value	11/04/2004	S	300	D	\$ 48.25	19,051	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 39.94	11/04/2004		M		3,120		<u>(1)</u>	05/17/2005	Common Stock	3,120
Employee Stock Option (right to buy)	\$ 31.75	11/04/2004		M		4,000		<u>(2)</u>	11/18/2007	Common Stock	4,000
Employee Stock Option (right to buy)	\$ 28.84	11/04/2004		M		5,250		<u>(3)</u>	01/27/2008	Common Stock	5,250
Employee Stock Option (right to buy)	\$ 28.32	11/04/2004		M		7,857		<u>(4)</u>	05/19/2008	Common Stock	7,857
Employee Stock Option (right to buy)	\$ 18.19	11/04/2004		M		15,000		<u>(5)</u>	01/26/2009	Common Stock	15,000
Employee Stock Option (right to buy)	\$ 23.67	11/04/2004		M		3,125		<u>(6)</u>	11/12/2011	Common Stock	3,125
Employee Stock Option (right to buy)	\$ 23.99	11/04/2004		M		1,562		<u>(7)</u>	11/20/2012	Common Stock	1,562

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KARRAS DONALD G 1700 LINCOLN STREET DENVER, CO 80203			Vice President	

## Signatures

Ardis Young, Assistant Secretary, as attorney-in-fact	11/08/2004
--	------------

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in two equal installments on May 17, 1996 and 1997.
- (2) The option vested in four equal installments on November 18, 1998, 1999, 2000 and 2001.
- (3) The option vested in two equal installments on January 27, 1999 and 2000.
- (4) The option vested in four equal installments on May 19, 1999, 2000, 2001 and 2002.
- (5) The option vested in two equal installments on January 26, 2000 and 2001.
- (6) The option vest in four equal installments on November 12, 2002, 2003, 2004 and 2005.
- (7) The option vest in four equal installments on November 20, 2003, 2004, 2005 and 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.