Allegion plc Form 144 February 20, 2019

#### OMB APPROVAL OMB Number: 3235-0101

Expires: June 30, 2020

Estimated average burden

hours per response 1.00

# SEC USE ONLY DOCUMENT SEQUENCE NO.

CUSIP NUMBER

WORK LOCATION

#### **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**FORM 144** 

## NOTICE OF PROPOSED SALE OF SECURITIES

# PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

Transmit for filing 3 copies of this form ATTENTION: concurrently with either placing an order with a broker to execute sale

# Edgar Filing: Allegion plc - Form 144

or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print)		(b) IR IDEN NO.		(c) S.E.C. FILE NO.	3	
Allegion plc		98-1	1089	001-35971		
1 (d) ADDRESS OF ISSUER CODE	STREET	CITY		STATE ZIP	(e) TELE	PHONE NO.
CODE					AREA CODE	NUMBER
				. (252)	(1)	
Block D Iveagh Court Harcourt Road	<i>(b)</i>			+(353)	2546200	
2 (a) NAME OF PERSON FOR WHO THE SECURITIES ARE TO BE SOL		RELATIONS TO	SHII	(c) ADDRESS S STATE ZII	STREET P CODE	CITY
		ISSUER				
Patrick S. Shannon		Officer		c/o Schlage Lock Company, LLC 11819 N. Pennsylvania Street , Carmel, IN 46032		

3 (a)	(b) Name and Address of Each	SEC USE ONLY	(c)	(d)	( <i>e</i> )	(f)	(g)
Title of the Class of Securities To Be Sold	Broker Through Whom the Securities are to be Offered	Broker-Dealer File Number r	Number of Shares or Other Units To Be Sold (See instr. 3(c))	Aggregate Market Value (See instr. 3(d))	Number of Shares or Other Units Outstanding (See instr. 3(e))	Approximate Date of Sale (See instr. 3(f)) (MO. DAY YR.)	Name of Each Securities Exchange (See instr. 3(g))
Ordinary Shares	who is Acquiring the Securities UBS Financial Services 1285 Avenue of the Americas New York, NY 10019		34,898	\$ 3,071,721.96 (as of February 19, 2019)	(94,458,335 as of February 14, 2019)	February 20, 2019	NYSE

*INSTRUCTION:* The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

INSTRUCTIONS:	3.(a) Title of the class of securities to be sold
1. (a) Name of issuer	(b) Name and address of each broker through whom the securities are
(b) Issuer's I.R.S. Identification Number	intended to be sold
(c) Issuer's S.E.C. file number, if any	(c) Number of shares or other units to be sold (if debt securities, give the
(d) Issuer's address, including zip code	aggregate face amount)
(e) Issuer's telephone number, including area code	(d) Aggregate market value of the securities to be sold as of a specified

- (f) Approximate date on which the securities are to be sold
- (g)Name of each securities exchange, if any, on which the

securities are intended to be sold

2. (a) Name of person for whose account the securities are to be sold

Such person's relationship to the issuer (e.g., officer, director,

- (b) 10% stockholder, or member of immediate family of any of the foregoing)
- (c) Such person's address, including zip code

date within 10 days prior to the filing of this notice

(e) Number of shares or other units of outstanding, as shown by the most the class outstanding, or if debt securities the face amount thereof recent report or statement published by the issuer

## Potential persons who are to respond to the collection of information contained in this form are not

#### required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

# TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title <sup>of</sup> Date you Acquired the Class	Nature of Acquisition Transaction	Name o Person from Whom Acquire (If gift, also giv date donor acquired	<sup>d</sup> Amount of Securities Acquired e	Date of Payment	Nature of Payment
172/2018 Shares	Vesting of Performance Stock Units	Allegion plc	<sup>n</sup> 2,623	n/a	n/a
Ordinary 17272018 Shares	Vesting of Performance Stock Units	Allegion plc	<sup>n</sup> 2,208	n/a	n/a
Ordinary 1/2/2018 Shares	Vesting of Performance Stock Units	Allegion plc	<sup>n</sup> 3,000	n/a	n/a
Ordinary 2/2/2017 Shares	Vesting of Performance Stock Units	Allegion plc		n/a	n/a
Ordinary 3/11/2017 Shares	Vesting of Restricted Stock Unit	pic		n/a	n/a
Ordinary 2/16/2018 Shares	Vesting of Restricted Stock Unit	Allegion s plc	<sup>n</sup> 542	n/a	n/a
Ordinary 2/20/2018 Shares	Vesting of Restricted Stock Unit	pic		n/a	n/a
Ordinary 2/13/2018 Shares	Vesting of Restricted Stock Unit	Allegio	<sup>n</sup> 699	n/a	n/a
Ordinary 2/16/2018 Shares	Vesting of Restricted Stock Unit	Allegion	<sup>n</sup> 93	n/a	n/a
Ordinary 3/11/2017 Shares	Vesting of Restricted Stock Unit	Allegion	<sup>n</sup> 244	n/a	n/a
Ordinary 3/11/2017 Shares	Vesting of Restricted Stock Unit	Allegion	<sup>n</sup> 80	n/a	n/a
Ordinary 2/20/2019 Shares	Exercising of Stock Options	Allegion plc	<sup>n</sup> 5,916	February 20, 2019	Cash
2/20/2019	Exercising of Stock Options	L .	5,916		Cash

## Edgar Filing: Allegion plc - Form 144

Ordinary		Allegion	February 20,	
Shares		plc	2019	
Ordinary 2/20/2019 Shares	Exercising of Stock Options	Allegion 8,970	February 20, 2019	Cash
Ordinary 2/20/2019 Shares	Exercising of Stock Options	Allegion 919 plc	February 20, 2019	Cash

**INSTRUCTIONS:** If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

#### **TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS**

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Amount of

Gross Proceeds

Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold

**REMARKS:** 

# **ATTENTION:**

# **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

February 20, 2019 DATE OF NOTICE

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If each person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ Hatsuki Miyata, Attorney-In-Fact (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed printed signatures.

**ATTENTION:** Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)