

Cerminara Kyle  
Form 4  
January 03, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Fundamental Global Investors, LLC

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

RELM WIRELESS CORP [RWC]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

4201 CONGRESS STREET, SUITE 140,

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(Street)

CHARLOTTE, NC 28209

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
|  |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock, par value \$0.60 per share | 01/01/2018                           |  | J(3)                           |   | 4,696   | A  | \$ 3.55   |
|  |                                      |  |                                |   | 4,696   | (1) (2)  |   |
|  |                                      |  |                                |   |   | I  | Fundamental Activist Fund I, LP                       |
| Common Stock, par value \$0.60 per share | 01/01/2018                           |  | J(4)                           |   | 585,749   | D  | \$ 3.55   |
|  |                                      |  |                                |   | 0   | (1) (2)  |   |
|  |                                      |  |                                |   |   | I  | Fundamental Global Partners, LP                       |
| Common Stock, par                        | 01/01/2018                           |  | J(4)                           |   | 585,749   | A  | \$ 3.55   |
|  |                                      |  |                                |   | 1,137,886   | (1) (2)  |   |
|  |                                      |  |                                |   |   | I  | Fundamental Global                                    |

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|  |  |   |   |
|--|--|---|---|
| value<br>\$0.60 per<br>share                         |  |   | Partners<br>Master Fund,<br>LP                          |
| Common<br>Stock, par<br>value<br>\$0.60 per<br>share | 1,083,875 <sup>(1)</sup><br><u>(2)</u> | I | Fundamental<br>Global<br>Holdings, LP                   |
| Common<br>Stock, par<br>value<br>\$0.60 per<br>share | 106,383 <sup>(1)</sup><br><u>(2)</u>   | I | FGI Global<br>Asset<br>Allocation<br>Master Fund,<br>LP |
| Common<br>Stock, par<br>value<br>\$0.60 per<br>share | 1,147,087 <sup>(1)</sup><br><u>(2)</u> | I | Ballantyne<br>Strong, Inc.                              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|--|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable  | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other



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and Johnson may be deemed to be beneficial owners of the shares of Common Stock disclosed as directly owned by BTN. Each Reporting Person disclaims beneficial ownership of the shares referred to herein except to the extent of his or its pecuniary interest therein. Mr. Moglia holds 356,876 shares of Common Stock directly and through the Moglia Family Foundation.

- (3) Investor contributions in-kind.
- (4) Transfer in connection with FGPP becoming a feeder fund to FGPM. Accordingly, there was no change in the aggregate beneficial ownership of the Reporting Persons.

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