

LAU ERIC

Form 3

December 09, 2010

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â LAU ERIC

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

12/02/2009

3. Issuer Name **and** Ticker or Trading Symbol

APPLIED ENERGETICS, INC. [AERG]

4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

COO and VP of Engineering

C/O APPLIED ENERGETICS,  
INC.,Â 3590 EAST COLUMBIA  
STREET

(Street)

TUSCON,Â AZÂ 85714

(City)

(State)

(Zip)

1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

35,084

D

Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

## Edgar Filing: LAU ERIC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options (right to buy)	Â (1)	03/09/2012	Common Stock	87,000	\$ 0.5	D	Â
Options (right to buy)	Â (2)	07/16/2014	Common Stock	250,000	\$ 0.4	D	Â
Options (right to buy)	Â (3)	03/23/2015	Common Stock	50,000	\$ 0.6	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
LAU ERIC C/O APPLIED ENERGETICS, INC. 3590 EAST COLUMBIA STREET TUSCON, AZ 85714	Â	Â	Â COO and VP of Engineering	Â	

## Signatures

/s/ Eric Lau                      12/09/2010

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These options became exercisable as to 69,500 shares on March 23, 2009 and 17,500 shares on April 23, 2009.

These options became exercisable as to 83,333 shares on each of July 16, 2009 and August 11, 2010 and will become exercisable as to the  
(2) ensuing 83,334 shares on the second day following the issuer's filing of its Quarterly Report on Form 10-Q for the quarter ending June 30, 2011.

(3) These options became exercisable as to 16, 667 shares on March 23, 2010 and will become exercisable as to 16,667 and 16,666 shares on March 23, 2011 and March 23, 2012, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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