Edgar Filing: LANDMARK BANCORP INC - Form 4

| LANDMAR Form 4 November 1 | RK BANCORP IN 7, 2016 | IC | | | | | | | | | |
|--|---|-------|----------|---|------------|------|--------------|---|---|---|--|
| FORM 4 UNITED STATES SECURITIES AND EXC Washington, D.C. 205 | | | | | |)549 | | OMB AF OMB Number: Expires: | PROVAL 3235-0287 January 31, 2005 | | |
| subject t Section Form 4 o Form 5 | 16. SECURITIES | | | | | | | | Estimated a burden hour response | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | 1 | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| ALEXANDER PATRICK L Syn | | | Symbol | 2. Issuer Name and Ticker or Trading Symbol LANDMARK BANCORP INC | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | [LARK] | | | | (Check all applicable) | | | |
| (M | | | (Month/I | 3. Date of Earliest Transaction (Month/Day/Year) 11/16/2016 | | | | _X_ Director10% Owner _X_ Officer (give titleOther (specify below)below) Executive Chairman | | | |
| | | | | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| LECOMPTON, KS 66050 | | | | | | | | Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Secu | rities Acqu | iired, Disposed of | , or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | arity (Month/Day/Year) Execution Date, if | | | 3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common | | | | Code V | | (D) | Price | (Instr. 3 and 4) | | | |
| Stock | 11/16/2016 | | | М | 515 | А | \$ 12.73 | 118,644 | D | | |
| Common Stock | 11/16/2016 | | | S | 515 | D | \$ 27.361 | 118,129 | D | | |
| Common Stock | | | | | | | | 35,921 | Ι | IRA | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: LANDMARK BANCORP INC - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deri Secu (Inst |
|---|---|---|---|--|---------|--|--------------------|---|--|--------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Options to Purchase Common Stock | \$ 12.73 | 11/16/2016 | | М | 515 | <u>(1)</u> | 04/20/2021 | Common Stock | 515 | 9 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|--------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| ALEXANDER PATRICK L 2004 E. 175TH RD LECOMPTON, KS 66050 | Х | | Executive Chairman | | | | |
| Signatures | | | | | | | |
| Mark A. Herpich, as Attorney- | 11/17/2 | 2016 | | | | | |

Alexander <u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The options vested in four equal installments on April 20, 2012, 2013, 2014, and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date