Edgar Filing: SPERLING SCOTT M - Form 4

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer social on 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Statement Section 16. Statement Section 17(a) of the Public Utility Holding Company Act of 1934, 30(h) of the Investment Company Act of 1940 Statement Section 17(a) of the Public Utility Holding Company Act of 1940 Statement Section 17(a) of the Public Utility Holding Company Act of 1940 Statement Section 17(a) of the Public Utility Holding Company Act of 1940 Statement Section 17(a) of the Public Utility Holding Company Act of 1940 Statement Section 17(a) of the Public Utility Holding Company Act of 1940 Statement Symbol Ithms and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer Statement Symbol VC/O THOMAS H. LEE PARTNERS, STH FLOOR (Modth) 3. Date of Earliest Transaction (Month/DayYear) Director Officer (give tilt	SPERLING Form 4 July 03, 201												
Check this box if no longer subject to Section 16, Form 4 or Form 5 Form 5 Form 5 Form 6 Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person _ SPERLING SCOTT M SPERLING SCOTT	FORM	Δ								OMB A	PPROVA	۹L	
if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934. obligations may continue. See Instruction 30(h) of the Investment Company Act of 1935 or Section See Instruction 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading SPERLING SCOTT M Symbol THERMO FISHER SCIENTIFIC INC. [TMO] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O THOMAS H. LEE PARTINERS, 06/30/2018 L.P., 100 FEDERAL STREET, 35TH FLOOR (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) C(Tity) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned LTitle of 2. Transaction Date 2. A. Deemed Security (Month/Day/Year) C(D) Thom Date 2. A. Deemed Security (Month/Day/Year) C(D) Thom Date 2. A. Deemed Security (Month/Day/Year) C(D) or Indicet Beneficially Owned LTitle of 2. Transaction Date 2. A. Deemed Security (Month/Day/Year) C(D) or Indicet Beneficially Owned LTitle of 2. Transaction Date 2. A. Deemed Security (Month/Day/Year) C(D) or Indicet Beneficially Owned LTitle of 2. Transaction Date 2. A. Deemed Security (Month/Day/Year) C(D) or Indicet Beneficially Owned LTitle of 2. Transaction Date 2. A. Deemed Security (Month/Day/Year) Code V Amount (D) Price (Instr. 3) and 4) (Month/Day/Year) Code V Amount (D) Price		UNITED STATES SECURITIES AND EACHANGE COMMISSION						SION		3235	-0287		
Form 4 or response 0.5 Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Investment Company Act of 1940 1(b). 0.5 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1(b). 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person 2 2. Issuer Name and Ticker or Trading Symbol THERMO FISHER SCIENTIFIC INC. [TMO] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	if no longer subject to STATEMENT OF									Expires: 2005 Estimated average			
1. Name and Address of Reporting Person.; 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer SPERLING SCOTT M Symbol THERMO FISHER SCIENTIFIC INC. [TMO] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director	Form 4 c Form 5 obligatio may cont <i>See</i> Instr	Form 4 or Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							response	•	0.5		
SPERLING SCOTT M Symbol Issuer Issuer Symbol THERMO FISHER SCIENTIFIC INC. [TMO] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	(Print or Type]	Responses)											
(Month/Day/Year) (Month/Day/Year) Officer (give tilleOther (specify below) C/O THOMAS H. LEE PARTNERS, 06/30/2018 06/30/2018 Image: constraint of the period specifies (give tille below) Other (specify below) STH FLOOR (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) STON, MA 02110 Table 1 - Non-Derivative Securities Applicable Line) (City) (State) Z. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of Securities 6. Ownership Form: Direct Indirect Beneficially (D) or Indirect Beneficially (Instr. 3) 7. Nature of Code N Amount (D) Price (Instr. 4) (Month/Day/Year) (Instr. 4) (Instr. 4) (Instr. 4)				Symbol THERMO FISHER SCIENTIFIC				Issuer	Issuer				
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BOSTON, MA 02110 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 0wned (I) (Month/Day/Year) (Month/Day/Year) 1. Sign (Instr. 3, 4 and 5) 0wned (I) 6. Ownership Form: Direct 7. Nature of Indirect (A) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) (Instr. 4) Ownership (Instr. 4) (A) or Code V Amount Op Price					-				Applicable Line)				
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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(1)</u>	06/30/2018		А	150.86	(2)	(2)	Common Stock	150.86	\$

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SPERLING SCOTT M C/O THOMAS H. LEE PARTNERS, L.P. 100 FEDERAL STREET, 35TH FLOOR BOSTON, MA 02110

Signatures

/s/ Scott M. 07/03/2018 Sperling

<u>**</u>Signature of Reporting Person

(2)

Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Convertible into Common Stock on a 1-for-1 basis.

Represents stock units credited to the Reporting Person's account as of June 30, 2018, at a price of \$207.14 per unit pursuant to the Issuer's Deferred Compensation Plan for Directors (the "Plan"). Directors' retainers are deferred quarterly under the Plan as Common Stock units based on the closing price of the stock as of the quarter end. The shares are distributable as stock upon

cessation of director service (for any reason) or a change of control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.