## Edgar Filing: FAUQUIER BANKSHARES, INC. - Form 4

FAUQUIER BANKSHARES, INC. Form 4 September 15, 2016 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **GRAAP ERIC PETER** Issuer Symbol FAUQUIER BANKSHARES, INC. (Check all applicable) [FBSS] 3. Date of Earliest Transaction \_X\_ Director (Last) (First) (Middle) 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) **10 COURTHOUSE SQ** 09/13/2016 EVP & CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WARRENTON, VA 20186 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 1,531 09/13/2016 F D \$0 28,313.232 D Stock (1) Common 6,726 Ι By Spouse Stock Common By Ι 32 Stock Daughter Common By I 10 Stock Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative	6. Date Exer Expiration D (Month/Day/	ate	7. Titl Amou Under Securi	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene
	Derivative			Securities			(Instr.	3 and 4)		Owne
	Security			Acquired (A) or						Follo Repo
				Disposed						Trans
				of (D)						(Instr
				(Instr. 3, 4 and 5)						
				4, and 5)						
					Date Exercisable	Expiration Date	Title	Amount or Number of		
			Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
GRAAP ERIC PETER 10 COURTHOUSE SQ WARRENTON, VA 20186	Х		EVP & CFO						
Signatures									
Danielle L. Jenkins as Attorney Graap	09/1	09/15/2016							
<u>**</u> Signature of Reportin	Date								

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares were withheld as payment of the tax liability as a result of vesting restricted shares at the time of retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.