MARCUS CORP Form 4

Form 4 June 08, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number: 3235-0287

Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * KISSINGER THOMAS F			2. Issuer Name <b>and</b> Ticker or Trading Symbol MARCUS CORP [MCS]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check an applicable)		
THE MARCUS CORPORATION, 100 E. WISCONSIN AVE., SUITE 1900			(Month/Day/Year) 06/06/2016	Director 10% OwnerX_ Officer (give title Other (specify below)  Sr Exec VP, Gen Counsel & Secy		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
MILWAUKE	E, WI 5320	)2	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Securi	ities Acqu	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	06/06/2016		M	15,000	A	\$ 19.74	64,178	D	
Common Stock	06/06/2016		F	14,424	D	\$ 20.53	49,754	D	
Common Stock							6,511.69 <u>(1)</u>	I	By 401(k) Plan
Common Stock							494.286 (2)	I	By Plan (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

### $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) (granted 7/31/06)	\$ 19.74	06/06/2016		М		15,000	<u>(4)</u>	07/31/2016	Common Stock	15,000
Stock Option (right to buy) (granted 8/2/07)	\$ 20.4						<u>(4)</u>	08/02/2017	Common Stock	15,000
Stock Option (right to buy) (granted 7/29/08)	\$ 15.59						<u>(4)</u>	07/29/2018	Common Stock	20,000
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34						<u>(4)</u>	07/28/2019	Common Stock	13,179
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89						<u>(4)</u>	07/27/2020	Common Stock	3,000

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Stock Option (right to buy) (granted 7/26/11)	\$ 10	<u>(4)</u>	07/26/2021	Common Stock	15,000
Stock Option (right to buy) (granted 7/31/12)	\$ 13.12	<u>(4)</u>	07/31/2022	Common Stock	22,000
Stock Option (right to buy) (granted 7/30/13)	\$ 13.04	<u>(4)</u>	07/30/2023	Common Stock	22,750
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34	<u>(4)</u>	07/29/2024	Common Stock	17,900
Stock Option (right to buy) (granted 7/28/15)	\$ 20.26	<u>(4)</u>	07/28/2025	Common Stock	19,300
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68	<u>(4)</u>	03/01/2026	Common Stock	12,300

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KISSINGER THOMAS F THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202			Sr Exec VP, Gen Counsel & Secy			

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#### **Signatures**

/s/ Steven R. Barth, Attorney-in-Fact for Thomas F. Kissinger

\*\*Signature of Reporting Person

Date

06/08/2016

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- (2) Balance reflects the reporting person's holdings in The Marcus Corporation Dividend Reinvestment and Associate Stock Purchase Plan as of June 8, 2016.
- (3) By Dividend Reinvestment and Associate Stock Purchase Plan.
- (4) The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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