#### HNI CORP Form 3 May 20, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Berger Vincent P	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol HNI CORP [HNI]			
(Last) (First) (Middle)	05/10/2016	4. Relationship Person(s) to Iss			5. If Amendment, Date Original Filed(Month/Day/Year)
408 EAST SECOND STREET					•
(Street)		(Check all applicable)			6. Individual or Joint/Group
MUSCATINE, IA 52761		Director X Officer (give title below) President, Hea	Other (specify belo	owner ow) <sup>1</sup> Tech -	Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person
(City) (State) (Zip)	Table I - N	on-Derivati	ve Securiti	es Ben	eficially Owned
1.Title of Security (Instr. 4)	2. Amount of Beneficially (Instr. 4)	Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Natu Owners (Instr. 5	*
Common Stock	3,508.832	(1) (2)	D	Â	
Common Stock	582.8409		Ι	Profit	-Sharing Retirement

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 1473 (7-02)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Indirect Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

January 31,

2005

0.5

Expires:

response...

Estimated average burden hours per

## Edgar Filing: HNI CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	02/12/2017	02/12/2017	Common Stock	3,000	\$ 0 <u>(3)</u>	D	Â
Non-qualifying employee stock option (right to buy)	02/17/2014(4)	02/17/2020	Common Stock	8,739	\$ 23.99	D	Â
Non-qualifying employee stock option (right to buy)	02/16/2015(4)	02/16/2021	Common Stock	3,500	\$ 31.98	D	Â
Non-qualifying employee stock option (right to buy)	02/16/2015(4)	02/16/2021	Common Stock	3,831	\$ 31.98	D	Â
Non-qualifying employee stock option (right to buy)	02/15/2016(4)	02/15/2022	Common Stock	5,996	\$ 25.46	D	Â
Non-qualifying employee stock option (right to buy)	02/13/2017 <u>(5)</u>	02/13/2023	Common Stock	4,628	\$ 31.79	D	Â
Non-qualifying employee stock option (right to buy)	02/12/2018 <u>(5)</u>	02/12/2024	Common Stock	4,084	\$ 34.78	D	Â
Non-qualifying employee stock option (right to buy)	02/18/2019 <u>(5)</u>	02/18/2025	Common Stock	4,965	\$ 51.54	D	Â
Non-qualifying employee stock option (right to buy)	02/17/2020 <u>(5)</u>	02/17/2026	Common Stock	11,574	\$ 32.03	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Berger Vincent P 408 EAST SECOND STREET MUSCATINE, IA 52761	Â	Â	President, Hearth & Home Tech	Â		
Signatures						
/s/ Steven M. Bradford, By Power of Attorney		05/20/2016				
<u>**</u> Signature of Reporting Person		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 7.543 shares acquired during fiscal year 2016 under the HNI Corporation 2002 Member Stock Purchase Plan at prices ranging
(1) from \$33.618 to \$36.76 per share. The information is as of May 10, 2016. Shares acquired during a given fiscal year under the HNI Corporation 2002 Member Stock Purchase Plan are reported in the aggregate on Form 5 following the end of such fiscal year.

- (2) Includes a total of 1,674 shares granted to the reporting person under the HNI Corporation Supplemental Income Plan and 327.8320 shares acquired under the HNI Corporation 2002 Member Stock Purchase Plan. The information is current as of May 10, 2016.
- (3) Each restricted stock unit represents a contingent right to receive one share of HNI Corporation Common Stock.
- (4) The option became fully vested and exercisable in one installment on the fourth anniversary of the date of grant.
- (5) The option will become fully vested and exercisable in one installment on the fourth anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.