Aeglea BioTherapeutics, Inc. Form 3/A

April 08, 2016

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

À Novartis Bioventures Ltd

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

04/06/2016

4. Relationship of Reporting

Director

Officer

Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

04/06/2016

131 FRONT STREET

(Street)

(Check all applicable)

\_X\_\_ 10% Owner Other (give title below) (specify below)

Aeglea BioTherapeutics, Inc. [AGLE]

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting

X\_ Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

HAMILTON, DOÂ HM12

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title

Conversion or Exercise

Price of

Security

Derivative

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date

Expiration Exercisable Date

Amount or Number of

Shares

Security: Direct (D) or Indirect (I)

(Instr. 5)

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Series A Preferred Stock	(1)	(1)	Common Stock	890,476	\$ 0 (1)	D (2)	Â
Series B Preferred Stock	(1)	(1)	Common Stock	1,120,448	\$ 0 <u>(1)</u>	D (2)	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Treporting of their removers.	Director	10% Owner	Officer	Other		
Novartis Bioventures Ltd 131 FRONT STREET HAMILTON, D0 HM12	Â	ÂX	Â	Â		
NOVARTIS AG LICHTSTRASSE 35 BASEL, V8 CH 4056	Â	ÂX	Â	Â		
Signatures						
/s/ Michael Jones, Deputy Chairman		04/07/20	016			
**Signature of Reporting Person			Dat	e		
/s/ Laurieann Chaikowsky, Authorized Signatory		04/07/20	016			
**Signature of Reporting Person			Dat	e		
/s/ Michael Jones, Authorized Signatory on behalf of Novartis AG	<b>.</b>	04/07/20	016			
**Signature of Reporting Person			Dat	e		
/s/ Laurieann Chaikowsky, Authorized Signatory on behalf of Novartis AG	·	04/07/20	016			
**Signature of Reporting Person			Dat	e		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share of Series A Preferred Stock and Series B Preferred Stock will be automatically converted into one (1) share of Common (1) Stock, for no additional consideration, immediately prior to the consummation of the Issuer's initial public offering, and has no expiration date
- These securities are held directly by Novartis Bioventures Ltd. ("Novartis Bioventures"). Novartis Bioventures is a corporation organized under the laws of Bermuda and is an indirect wholly-owned subsidiary of Novartis AG. Novartis AG is a corporation organized under the laws of Switzerland and is the publicly owned parent of Novartis Bioventures Ltd.

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#### **Remarks:**

This amendment is being filed solely to add the power of attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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