**MARCUS CORP** Form 4 March 02, 2015

### FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **NEIS DOUGLAS A** 

(Street)

(State)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

02/19/2015

MARCUS CORP [MCS]

(Check all applicable)

(First) (Last)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director

10% Owner X\_ Officer (give title Other (specify below)

CFO and Treasurer

THE MARCUS

(City)

Common

Stock

CORPORATION, 100 E.

**WISCONSIN AVE., SUITE 1900** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MILWAUKEE, WI 53202

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned **Following** (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4)

Reported Transaction(s)

or (Instr. 3 and 4) Price (D)

Common 02/19/2015 Stock

V Amount Code G V 950

D \$0 48,802

(A)

D

I

By 401(k) Plan

SEC 1474

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

6,903 (1)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivati Securitic Acquires (A) or Disposes of (D) (Instr. 3, 4, and 5)	}		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) (granted 10/6/05)	\$ 14.0694					(2)	10/06/2015	Common Stock	14,258
Stock Option (right to buy) (granted 7/31/06)	\$ 19.74					(2)	07/31/2016	Common Stock	15,000
Stock Option (right to buy) (granted 8/2/07)	\$ 20.4					(2)	08/02/2017	Common Stock	15,000
Stock Option (right to buy) (granted 7/29/08)	\$ 15.59					<u>(2)</u>	07/29/2018	Common Stock	20,000
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34					(2)	07/28/2019	Common Stock	20,000
Stock Option	\$ 11.89					(2)	07/27/2020	Common Stock	22,500

8. Price Deriva Securit (Instr.

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(right to buy) (granted 7/27/10)					
Stock Option (right to buy) (granted 7/26/11)	\$ 10	(2)	07/26/2021	Common Stock	22,500
Stock Option (right to buy) (granted 7/31/12)	\$ 13.12	(2)	07/31/2022	Common Stock	19,500
Stock Option (right to buy) (granted 7/30/13)	\$ 13.04	<u>(2)</u>	07/30/2023	Common Stock	21,000
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34	<u>(2)</u>	07/29/2024	Common Stock	16,400

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
NEIS DOUGLAS A THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202			CFO and Treasurer			

# **Signatures**

/s/ Steven R. Barth, Attorney-in-Fact for Douglas A. Neis	03/01/2015	
**Signature of Reporting Person	Date	

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- (2) The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.