#### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4 July 08, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

**OMB APPROVAL** 

Washington, D.C. 20549

3235-0287 Number: January 31, Expires:

2005

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5 response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Sanofi			2. Issuer Name and Ticker or Trading Symbol REGENERON PHARMACEUTICALS INC [REGN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  DirectorX 10% Owner			
(Last) (First) (Middle) 54, RUE LA BOETIE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/03/2014	Officer (give titleOther (spec below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PARIS, I0 75008				Form filed by More than One Reporting Person			

(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired Disposed of or Beneficially Owned

•		1 41	DIC 1 - 14011	-Derivative	Secui	ines Acquire	i, Disposeu oi, oi	Denenciany	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or orDisposed of (D) (Instr. 3, 4 and 5)		5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	,	
Common Stock	07/03/2014		P	15,953 (1) (2)	A	\$ 309.4638 (1) (3)	20,911,994	I	See note
Common Stock	07/03/2014		P	24,877 (1) (2)	A	\$ 310.2112 (1) (5)	20,936,871	I	See note (4)
Common Stock	07/03/2014		P	31,358 (1) (2)	A	\$ 311.1989 (1) (6)	20,968,229	I	See note (4)
	07/03/2014		P		A		20,988,605	I	

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Common Stock			20,376 (1) (2)		\$ 312.2828 (1) (7)			See note (4)
Common Stock	07/03/2014	P	7,436 <u>(1)</u> <u>(2)</u>	A	\$ 312.8424 (1) (8)	20,996,041	I	See note (4)
Common Stock	07/07/2014	P	14,852 (1) (2)	A	\$ 311.1676 (1) (9)	21,010,893	I	See note
Common Stock	07/07/2014	P	102,911 (1) (2)	A	\$ 312.0998 (1) (10)	21,113,804	I	See note
Common Stock	07/07/2014	P	72,706 (1) (2)	A	\$ 312.8645 (1) (11)	21,186,510	I	See note (4)
Common Stock	07/07/2014	P	30,731 (1) (2)	A	\$ 314.0601 (1) (12)	21,217,241	I	See note (4)
Common Stock	07/07/2014	P	33,408 (1) (2)	A	\$ 314.9575 (1) (13)	21,250,649	I	See note (4)
Common Stock	07/07/2014	P	2,092 <u>(1)</u> <u>(2)</u>	A	\$ 315.5946 (1) (14)	21,252,741	I	See note

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr. 3	etion 8) I	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
r g	Director	10% Owner	Officer	Other				
Sanofi								
54, RUE LA BOETIE		X						
PARIS, 10 75008								

## **Signatures**

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities Law

07/08/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- (2) Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN"). The shares were purchased pursuant to a plan intended to comply with Rule 10b5-1(c)(1)(i) under the Exchange Act, entered into with a broker on June 27, 2014.
- (3) Purchase prices range from \$308.73 to \$309.72 per share, inclusive.
  - Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares
- beneficially owned directly by SAAN and Aventis was 18,453,189 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuers Board of Directors.
- (5) Purchase prices range from \$309.73 to \$310.71 per share, inclusive.
- (6) Purchase prices range from \$310.73 to \$311.72 per share, inclusive.
- (7) Purchase prices range from \$311.73 to \$312.71 per share, inclusive.
- (8) Purchase prices range from \$312.73 to \$312.97 per share, inclusive.
- (9) Purchase prices range from \$310.48 to \$311.47 per share, inclusive.
- (10) Purchase prices range from \$311.48 to \$312.47 per share, inclusive.
- (11) Purchase prices range from \$312.48 to \$313.44 per share, inclusive.
- (12) Purchase prices range from \$313.50 to \$314.43 per share, inclusive.
- (13) Purchase prices range from \$314.50 to \$315.49 per share, inclusive.
- (14) Purchase prices range from \$315.50 to \$315.65 per share, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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