PTC INC. Form 4 January 27, 2014

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Diouane Marc Issuer Symbol PTC INC. [PTC] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ \_\_ Other (specify 140 KENDRICK STREET 01/27/2014 below) **EVP Global Services & Partners** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

#### NEEDHAM, MA 02494

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Adomor Disposed of (Instr. 3, 4 and (A) or Amount (D)	(D) 55)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/27/2014		S	48,163 D	\$ 35.3734 (1)	13,762	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: PTC INC. - Form 4

1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
D	erivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(I	nstr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
		Derivative				Securities			(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						`
						4, and 5)						
						, ,						
										Amount		
						Date	Expiration		or			
							Exercisable Date	-	Title Number of	Number		
								Dute		of		
					Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Diouane Marc 140 KENDRICK STREET NEEDHAM, MA 02494

**EVP Global Services & Partners** 

#### **Signatures**

Catherine Gorecki by power of attorney filed 10/6/2010

01/27/2014

Date

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$35.20 to \$35.56. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. mon Stock 3,125 \$ 46.57 D Â Restricted Stock Unit08/30/2006(1)08/30/2006(1)08/30/2006(1) Common Stock 5,000 \$ (4) D Â Restricted Stock Unit08/30/2008(1) Common Stock 5,000 \$ (4) D Â Restricted Stock Unit08/30/2009(1)08/30/2009(1) Common Stock 5,000 \$ (4) D Â Restricted Stock Unit08/30/2009(1)08/30/2009(1) Common Stock 5,000 \$ (4) D Â Restricted Stock Unit03/24/2008(2) Common Stock 100,000 \$ (4) D Â Restricted Stock Unit03/24/2010(3)03/24/2010(3) Common Stock 100,000 \$ (4) D Â Employee Stock Option12/14/200512/14/2011 Common Stock 250 \$ 10.195 I By Wife Employee Stock Option02/05/200602/05/2012 Common Stock 250 \$ 12.725 I By Wife Employee Stock Option02/05/200602/05/2012 Common Stock 562 \$ 12.725 I By Wife Employee Stock Option08/04/200502/04/2011 Common Stock 750 \$ 10.895 I By Wife Employee Stock Option02/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option08/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option08/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option08/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option08/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option08/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option11/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option08/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option11/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife Employee Stock Option11/04/200602/04/2011 Common Stock 1,250 \$ 10.895 I By Wife

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### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Fadell Anthony

1 INFINITE LOOP Â Â Â Senior Vice President Â

CUPERTINO, Â CAÂ 95014

## **Signatures**

/s/ Anthony Fadell 02/10/2006

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the restricted stock units are scheduled to vest annually, assuming continued employment.
- (2) 50% of the restricted stock units are scheduled to vest on 3/24/2008, assuming continued employment.
- (3) 50% of the restricted stock units are scheduled to vest on 3/24/2010, assuming continued employment.
- (4) Upon payout, Reporting Person is entitled to receive 1 share of common stock for each 1 restricted stock unit.

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