

Rutherford Denise R
Form 3
April 10, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Rutherford Denise R			(Month/Day/Year)	3M CO [MMM]	
(Last)	(First)	(Middle)	04/01/2019	4. Relationship of Reporting Person(s) to Issuer	
3M CENTER				5. If Amendment, Date Original Filed(Month/Day/Year)	
(Street)				(Check all applicable)	
MAPLEWOOD, MN 55144				☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) Senior Vice President	
(City)	(State)	(Zip)		6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	9,980.2971 ⁽¹⁾	D	
Common Stock	2,394 ⁽²⁾	I	By 401k/paesop Trust
Common Stock	122	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Non-qualified Stock Option (Right to Buy)	02/04/2015	02/02/2024	Common Stock	9,592	\$ 126.72	D	Â
Non-qualified Stock Option (Right to Buy)	02/03/2016	02/03/2025	Common Stock	9,571	\$ 165.94	D	Â
Non-qualified Stock Option (Right to Buy)	02/02/2017	02/02/2026	Common Stock	10,228	\$ 147.87	D	Â
Non-qualified Stock Option (Right to Buy)	02/07/2018	02/06/2027	Common Stock	10,033	\$ 175.76	D	Â
Non-qualified Stock Option (Right to Buy)	02/06/2019	02/04/2028	Common Stock	7,087	\$ 233.63	D	Â
Non-qualified Stock Option (Right to Buy)	02/05/2020	02/04/2029	Common Stock	7,207	\$ 201.12	D	Â
Non-qualified Stock Option (Right to Buy)	02/04/2015	02/04/2024	Common Stock	605	\$ 126.72	I	By Spouse
Non-qualified Stock Option (Right to Buy)	02/03/2016	02/03/2025	Common Stock	1,135	\$ 165.94	I	By Spouse
Non-qualified Stock Option (Right to Buy)	02/02/2017	02/02/2026	Common Stock	501	\$ 147.87	I	By Spouse
Non-qualified Stock Option (Right to Buy)	02/06/2018	02/06/2027	Common Stock	1,185	\$ 175.76	I	By Spouse
Non-qualified Stock Option (Right to Buy)	02/06/2019	02/04/2028	Common Stock	447	\$ 233.63	I	By Spouse

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rutherford Denise R 3M CENTER MAPLEWOOD, MN 55144	Â	Â	Â Senior Vice President	Â

Signatures

/s/ Sheila B. Clagherty, attorney-in-fact for Ms.
Rutherford

04/10/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (2) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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