

Ronaghi Mostafa  
Form 4  
November 14, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ronaghi Mostafa

2. Issuer Name and Ticker or Trading Symbol  
ILLUMINA INC [ILMN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

5200 ILLUMINA WAY

11/12/2018

Sr VP & CTO

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)

SAN DIEGO, CA 92122

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	11/12/2018		M		10,000 (1)	A	\$ 37.04 79,612 D
Common Stock	11/12/2018		S		1,335 (1)	D	\$ 310.921 78,277 D
Common Stock	11/12/2018		S		1,724 (1)	D	\$ 311.9184 76,553 D
Common Stock	11/12/2018		S		1,741 (1)	D	\$ 312.9685 74,812 D
	11/12/2018		S			D	73,412 D

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Common Stock			1,400 <u>(1)</u>		\$ 314.015 <u>(5)</u>		
Common Stock	11/12/2018	S	800 <u>(1)</u>	D	\$ 314.9575 <u>(6)</u>	72,612	D
Common Stock	11/12/2018	S	900 <u>(1)</u>	D	\$ 316.8244 <u>(7)</u>	71,712	D
Common Stock	11/12/2018	S	700 <u>(1)</u>	D	\$ 317.5343 <u>(8)</u>	71,012	D
Common Stock	11/12/2018	S	400 <u>(1)</u>	D	\$ 318.585 <u>(9)</u>	70,612	D
Common Stock	11/12/2018	S	500 <u>(1)</u>	D	\$ 320.484 <u>(10)</u>	70,112	D
Common Stock	11/12/2018	S	100 <u>(1)</u>	D	\$ 321.16	70,012	D
Common Stock	11/12/2018	S	200 <u>(1)</u>	D	\$ 323.115 <u>(11)</u>	69,812	D
Common Stock	11/12/2018	S	200 <u>(1)</u>	D	\$ 326.07	69,612	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of S
Non-qualified Stock Option (Right to Buy)	\$ 37.04	11/12/2018		M	10,000 <u>(1)</u>	02/27/2010	01/27/2020	Common Stock	10,

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ronaghi Mostafa 5200 ILLUMINA WAY SAN DIEGO, CA 92122			Sr VP & CTO	

## Signatures

By: Scott M. Davies for Mostafa  
Ronaghi

11/14/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10b5-1 plan.
  - (2) Weighted average sale price representing 1,335 shares sold ranging from \$310.38 to \$311.36 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (3) Weighted average sale price representing 1,724 shares sold ranging from \$311.38 to \$312.28 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (4) Weighted average sale price representing 1,741 shares sold ranging from \$312.46 to \$313.38 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (5) Weighted average sale price representing 1,400 shares sold ranging from \$313.51 to \$314.39 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (6) Weighted average sale price representing 800 shares sold ranging from \$314.71 to \$315.42 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (7) Weighted average sale price representing 900 shares sold ranging from \$316.19 to \$317.18 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (8) Weighted average sale price representing 700 shares sold ranging from \$317.25 to \$318.15 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (9) Weighted average sale price representing 400 shares sold ranging from \$318.28 to \$318.77 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (10) Weighted average sale price representing 500 shares sold ranging from \$320.14 to \$321.04 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
  - (11) Weighted average sale price representing 200 shares sold ranging from \$323.05 to \$323.18 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.