

Keating Neal J  
Form 4  
November 07, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Keating Neal J

(Last) (First) (Middle)

C/O KAMAN  
CORPORATION, 1332 BLUE  
HILLS AVE

(Street)

BLOOMFIELD, CT 06002

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

KAMAN Corp [KAMN]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/06/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chm, Pres & CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                         |                                                                                                  |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|-------------------------|--------------------------------------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                        | Price                                                             |                         |                                                                                                  |
| Kaman<br>Common<br>Stock              | 11/06/2018                              |                                                             | P                                    |                                                                         | 4,000                                                                                                              | A                                                                       | \$<br>59.0408<br>(1)                                              | 208,038.8424<br>(2) (3) | D                                                                                                |
| Kaman<br>Common<br>Stock              |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         |                                                                   | 14,000                  | I                                                                                                |
|                                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         |                                                                   |                         | Held In<br>Investment<br>Management<br>Account Of<br>An LLC<br>Wholly<br>Owned By<br>Mr. Keating |

And His  
Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|-------------------------------------|-----------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount<br>or<br>Number<br>of Shares |                                                     |
| Restricted<br>Stock<br>Units                        | (4)                                                                |                                         |                                                             |                                      |                                                                                                                    | (5)                                                            | (6)                | Kaman<br>Common<br>Stock                                            | 15,000                              |                                                     |

## Reporting Owners

| Reporting Owner Name / Address                                                         | Relationships |           |                       |       |
|----------------------------------------------------------------------------------------|---------------|-----------|-----------------------|-------|
|                                                                                        | Director      | 10% Owner | Officer               | Other |
| Keating Neal J<br>C/O KAMAN CORPORATION<br>1332 BLUE HILLS AVE<br>BLOOMFIELD, CT 06002 | X             |           | Chm,<br>Pres &<br>CEO |       |

## Signatures

/s/ Neal J.  
Keating

11/07/2018

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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This figure represents the weighted average purchase price for the shares purchased. The range of prices was \$58.93 to \$59.05. The

- (1) reporting person will provide full information regarding the number of shares purchased at each separate price upon request by the SEC staff, the issuer, or any security holder of the issuer
- (2) Includes the acquisition of 158.7351 shares under the Corporation's Employees Stock Purchase Plan, a Rule 16b-3 qualified plan, through June 15, 2018.  
  
Includes the acquisition of approximately 117.067 shares through October 4, 2018 by the reporting person pursuant to the periodic,
- (3) automatic reinvestment of dividends paid on the Corporation's common stock under a program maintained by the reporting person's brokerage firm which is similar to the Corporation's Dividend Reinvestment Plan.
- (4) Each restricted stock unit represents a contingent right to receive one share of Kaman common stock.

The restricted stock units vested in full on October 13, 2017, which was the date of the reporting person's 62nd birthday. Vested shares

- (5) will be delivered to the reporting person on the later of six months and one day following the reporting person's separation from service from the Company, or January 2nd of the year following such separation from service.

The restricted stock units vested in full on October 13, 2017, which was the date of the reporting person's 62nd birthday. Vested shares

- (6) will be delivered to the reporting person on the later of six months and one day following the reporting person's separation from service from the Company, or January 2nd of the year following such separation from service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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