Sandberg Sheryl Form 4 June 13, 2018

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB
3235-0287

Number:

3233-0267

Expires:

January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * Sandberg Sheryl |          |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer   |  |  |
|---|----------|----------|--|--|--|--|
|   |          |          | Facebook Inc [FB]                                  | (Check all applicable)   |  |  |
| (Last)  | (First)  | (Middle) | 3. Date of Earliest Transaction                    |  |  |  |
| C/O FACEBOOK, INC., 1601<br>WILLOW ROAD                   |          |          | (Month/Day/Year)<br>06/12/2018                     | _X_ Director 10% Owner _X_ Officer (give title Other (specify below)  Chief Operating Officer        |  |  |
|   | (Street) |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check  |  |  |
| MENLO PARK, CA 94025                                      |          |          | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |
| (City)  | (State)  | (Zin)    |  |  |  |  |

|   | (City)                      | (State)   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |               |           |       |   |            |  |  |
|---|-----------------------------|---|--|---|---------------|-----------|-------|---|------------|--|--|
| S | Title of eccurity Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |  | 3. 4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |               |           |       | 5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) |            | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                                    |  |
|   |                             |   |  | Code V  | Amount        | or<br>(D) | Price | (Instr. 3 and 4)  | (11151111) |  |  |
| ( | Class A<br>Common<br>Stock  | 06/12/2018  |  | С   | 55,000<br>(1) | A         | \$ 0  | 1,560,021   | Ι          | By Sheryl K. Sandberg, Trustee of Sheryl K. Sandberg Revocable Trust UTA dated September 3, 2004 (2) |  |
|   |                             | 06/12/2018  |  | S(3)  | 46,206        | D         |       | 1,513,815   | I          |  |  |

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| Class A<br>Common<br>Stock |            |      |       |   | \$ 192.2019<br>(4) |           |   | By Sheryl K. Sandberg, Trustee of Sheryl K. Sandberg Revocable Trust UTA dated September 3, 2004 (2) |
|----------------------------|------------|------|-------|---|--------------------|-----------|---|--|
| Class A<br>Common<br>Stock | 06/12/2018 | S(3) | 8,794 | D | \$ 192.9173<br>(5) | 1,505,021 | I | By Sheryl K. Sandberg, Trustee of Sheryl K. Sandberg Revocable Trust UTA dated September 3, 2004 (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Stock)

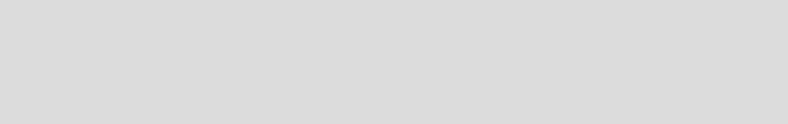
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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount Underlying Securiti (Instr. 3 and 4) |                              |
|---|---|--------------------------------------|---|--|---|--------|--|--------------------|--|------------------------------|
|   |   |                                      |   | Code V                                 | (A)   | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amou<br>or<br>Numb<br>of Sha |
| Stock Option (Right to Buy Class B Common           | \$ 10.388   | 06/12/2018                           |   | M                                      |   | 55,000 | <u>(6)</u>   | 07/22/2020         | Class B<br>Common<br>Stock (7)                           | 55,0                         |



 $\mathbf{C}$ 

M

55,000

55,000

(9)

(7)

Class A

Stock

Class A

Stock

Common 55,0

Common 55,0

(7)

(7)

## **Reporting Owners**

(7)

| Reporting Owner Name / Address  | Relationships |           |                         |       |  |  |  |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| 1 8   | Director      | 10% Owner | Officer                 | Other |  |  |  |
| Sandberg Sheryl<br>C/O FACEBOOK, INC.<br>1601 WILLOW ROAD<br>MENLO PARK, CA 94025 | X             |           | Chief Operating Officer |       |  |  |  |

## **Signatures**

Class B

Common

Stock (7)

Class B

Common

Stock (7)

<u>(7)</u>

06/12/2018

06/12/2018

/s/ Michael Johnson as attorney-in-fact for Sheryl K. 06/13/2018 Sandberg Date

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the number of shares that were acquired upon the conversion of Class B Common Stock to Class A Common Stock in connection with the exercise of the stock option listed in Table II.
- (2) Shares held of record by Sheryl K. Sandberg, Trustee of Sheryl K. Sandberg Revocable Trust UTA dated September 3, 2004.

Reporting Owners 3

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- (3) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the holder.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$191.63 to \$192.62 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$192.65 to \$193.20 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (6) The option vests as to 1/48th of the total shares monthly, beginning on May 1, 2013, subject to continued service through each vesting date.
- (7) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (8) Options held of record by Sheryl K. Sandberg, Trustee of Sheryl K. Sandberg Revocable Trust UTA dated September 3, 2004.
- (9) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.