Edgar Filing: PETRATIS DAVID D - Form 4

| PETRATIS | DAVID D | | | | | | | | | | |
|---|--------------------------|--|---------------------------------------|----------------------|--------------------------|-----------------------|---|--|---------------------------|---------------|--|
| Form 4 | | | | | | | | | | | |
| February 21 | , 2018 | | | | | | | | | | |
| FORM | 14 | | | | | | NOLO | | OMB APPROVAL | | |
| | ···· UNITED | STATES | | | | | NGE C | OMMISSION | OMB | 3235-0287 | |
| Check this box | | | | shington, D.C. 20549 | | | | | Number: | January 31, | |
| if no longer | | | | JCFS IN | GES IN BENEFICIAL OWNERS | | | | Expires: 200 | | |
| subject to | | | | SECURITIES | | | | LENSIIII OF | Estimated average | | |
| | Section 16. Form 4 or | | | | SECURITIES | | | | burden hour response | rs per 0.5 | |
| Form 5 | | rsuant to S | Section 1 | 6(a) of th | e Securi | ties F | Exchange | e Act of 1934, | 16500156 | 0.5 | |
| obligatio | ons Section 17 | | | | | | | 1935 or Section | ı | | |
| may con <i>See</i> Instr | unue. | | | ivestment | | _ | | | | | |
| 1(b). | | | | | _ | | | | | | |
| | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1 Name and A | Address of Reporting | Person * | 2 I.cour | r Nama and | Tieker o | Tradi | na | 5. Relationship of | Reporting Pers | on(s) to | |
| 1. Name and Address of Reporting Person *2. IssuePETRATIS DAVID DSymbol | | | uer Name and Ticker or Trading | | | | Issuer | | | | |
| | | | • | on plc [AL | LEI | | | | | | |
| (Last) | (First) | (Middle) | Ū. | of Earliest Ti | _ | | | (Check | c all applicable |) | |
| (Last) | (Filst) | (winduic) | | Day/Year) | ransaction | | | X Director | 10% | Owner | |
| C/O SCHLAGE LOCK CO., 02/16/2 | | | - | | | | X Officer (give title Other (specify | | | | |
| LLC, 11819 | 9 N. PENNSYLV | VANIA | | | | | | below) Chairman | below) , President & C | ΈO | |
| STREET | | | | | | | | Chuirman | | | |
| | (Street) | | 4. If Am | endment, Da | ate Origina | ıl | | 6. Individual or Jo | int/Group Filin | g(Check | |
| | | | fonth/Day/Year) | | | | Applicable Line) | | | | |
| | | | | | | | | _X_ Form filed by O Form filed by M | | | |
| CARMEL, | IN 46032 | | | | | | | Person | ore than one Re | porting | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Secu | rities Acqu | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction Dat | | | 3. | 4. Securi | | | 5. Amount of | 6. | 7. Nature of | |
| Security | (Month/Day/Year) | n Date, if Transaction(A) or Disposed of (D) | | | | | Securities | Ownership | Indirect | | |
| (Instr. 3) | | Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8) | | | 5) | Beneficially Owned | Form: Direct Beneficial (D) or Ownership | Ownership | | | |
| | | (| | (| | | | Following | Indirect (I) | (Instr. 4) | |
| | | | | | | (A) | | Reported | (Instr. 4) | | |
| | | | | | | or | | Transaction(s) (Instr. 3 and 4) | | | |
| Ordin | | | | Code V | Amount | (D) | Price | ((((((| | | |
| Ordinary Shares | 02/16/2018 | | | F | 1,884 | D | \$ 82.695 | 179,152 | D | | |
| Shares | | | | | | | 02.075 | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration E (Month/Day e | Date Exercisable and piration Date onth/Day/Year) | | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--------------------------------------|---|---------------------------------|---|-------|---|---|--|
| | | | | Code N | 7 (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|---------------------------|-------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| PETRATIS DAVID D C/O SCHLAGE LOCK CO., LLC 11819 N. PENNSYLVANIA STREET CARMEL, IN 46032 | х | | Chairman, President & CEO | | | | | |
| Signatures | | | | | | | | |
| /s/ S. Wade Sheek, Attorney-In-Fact | 02/21/20 | 018 | | | | | | |

Date

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.