

Pauley Lisa Ann
Form 4
December 08, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Pauley Lisa Ann

(Last) (First) (Middle)

10 LONGS PEAK DRIVE

(Street)

BROOMFIELD, CO 80021-2510

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
BALL Corp [BLL]

3. Date of Earliest Transaction
(Month/Day/Year)
12/06/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)

SR VP, HR & Administration

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/06/2017		M ⁽¹⁾	5,440 A \$ 40.81	114,362.6283	D	
Common Stock	12/06/2017		F ⁽²⁾	1,670 D \$ 40.81	112,692.6283	D	
Common Stock	12/06/2017		M ⁽³⁾	22,560 A \$ 12.528	135,252.6283	D	
Common Stock	12/06/2017		F ⁽⁴⁾	13,063 D \$ 40.81	122,189.6283	D	
Common Stock					6,026.472	I	401(k) Plan ⁽⁵⁾
					295,466.0054	I	

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Common
Stock

By
Spouse (6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (iso) (Right to Buy)	\$ 12.5275	12/06/2017		M ⁽⁷⁾	5,440	⁽⁸⁾ 04/23/2018	Common Stock	5,440
Stock Appreciation Rights (sars)	\$ 12.5275	12/06/2017		M ⁽⁹⁾	22,560	04/23/2009 04/23/2018	Common Stock	22,560

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Pauley Lisa Ann 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021-2510	SR VP, HR & Administration

Signatures

/s/ Janice L. Rodriguez, attorney-in-fact for Ms.
Pauley

12/08/2017

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Common stock acquired from exercise of Incentive Stock Options (ISOs).

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- (2) Shares swapped to pay for the cost of the ISOs.
- (3) Common stock acquired from exercise of Stock Appreciation Rights (SARs).
- (4) Shares utilized to pay for the cost of the Stock Appreciation Rights (SARs) and for the payment of the tax obligation on the exercise of the SARs.
- (5) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (6) The reporting person expressly disclaims beneficial ownership of the securities owned by Spouse.
- (7) Exercise of Incentive Stock Options (ISOs).
- (8) Shares exercisable beginning one year after grant in 25% increments and thereafter annually upon the anniversary of the date of the grant of the Incentive Stock Option (ISO).
- (9) Exercise of Stock Appreciation Rights (SARS).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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