

POLK DENNIS

Form 4

August 03, 2017

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
POLK DENNIS

(Last) (First) (Middle)

44201 NOBEL DRIVE

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
SYNNEX CORP [SNX]

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	08/01/2017		S ⁽¹⁾		1,500	D \$ 118.994 ⁽²⁾	28,468	D	
Common Stock	08/01/2017		M		500	A \$ 26.98	28,968	D	
Common Stock	08/01/2017		S ⁽³⁾		146	D \$ 120.39	28,822	D	
Common Stock	08/01/2017		S ⁽³⁾		73	D \$ 120.35	28,749	D	
Common Stock	08/01/2017		S ⁽³⁾		73	D \$ 120.31	28,676	D	

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Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 120.15	28,603	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 120.14	28,530	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 120.04	28,457	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.98	28,384	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.95	28,311	D
Common Stock	08/01/2017	<u>S(3)</u>	146	D	\$ 119.93	28,165	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.9	28,092	D
Common Stock	08/01/2017	<u>S(3)</u>	85	D	\$ 119.87	28,007	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.84	27,934	D
Common Stock	08/01/2017	<u>S(3)</u>	62	D	\$ 119.8	27,872	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.79	27,799	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.78	27,726	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.77	27,653	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.73	27,580	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.7	27,507	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.66	27,434	D
Common Stock	08/01/2017	<u>S(3)</u>	72	D	\$ 119.62	27,362	D
Common Stock	08/01/2017	<u>S(3)</u>	77	D	\$ 119.55	27,285	D
Common Stock	08/01/2017	<u>S(3)</u>	74	D	\$ 119.34	27,211	D
Common Stock	08/01/2017	<u>S(3)</u>	73	D	\$ 119.2	27,138	D
	08/01/2017	<u>S(3)</u>	10	D	\$ 119.12	27,128	D

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Common
Stock

Common Stock	08/01/2017	S ⁽³⁾	73	D	\$ 119	27,055	D
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Common Stock	08/01/2017	S ⁽³⁾	3	D	\$ 118.98	27,052	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 26.98	08/01/2017		M	500	<u>(4)</u>	10/05/2021	Common Stock	500	\$

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
POLK DENNIS 44201 NOBEL DRIVE FREMONT, CA 94538	X Chief Operating Officer

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact

08/03/2017

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 31, 2016.

Represents the weighted average sales price for a number of transactions effected at prices ranging from \$118.8561 to \$119.70. The reporting person has provided to the issuer, and undertakes to provide upon request to the SEC staff, or any security holder of the issuer, information regarding the number of shares sold at each separate price within the range.

(3) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 27, 2016.

(4) This stock option is immediately exercisable as to 639 shares and is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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