Pauley Lisa Ann Form 4 July 24, 2017

## FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Pauley Lisa Ann Issuer Symbol BALL Corp [BLL] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify \_X\_\_ Officer (give title \_ 10 LONGS PEAK DRIVE 07/21/2017 below) SR VP, HR & Administration (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting BROOMFIELD, CO 80021-2510 Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4)	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/21/2017		M <u>(1)</u>	48,240	A	\$ 12.5275	343,676.4584	I	By Spouse
Common Stock	07/21/2017		F(3)	29,993	D	\$ 42.92	313,683.4584	I	By Spouse
Common Stock	07/21/2017		M <u>(1)</u>	48,200	A	\$ 10.02	361,883.4584	I	By Spouse
Common Stock	07/21/2017		F(3)	28,463	D	\$ 42.92	333,420.4584	I	By Spouse

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

#### Edgar Filing: Pauley Lisa Ann - Form 4

			(2)
Common Stock	6,013.033	I	401(k) Plan (4)
Common Stock	108,904.5612	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Stock Appreciation Rights (sars)	\$ 12.5275	07/21/2017		M <u>(5)</u>	48,240	04/23/2009	04/23/2018	Common Stock	48,2
Stock Appreciation Rights (sars)	\$ 10.02	07/21/2017		M <u>(5)</u>	48,200	01/28/2010	01/28/2019	Common Stock	48,2
Stock Appreciation Rights (sars)	\$ 12.5275					04/23/2009	04/23/2018	Common Stock	22,5
Stock Appreciation Rights (sars)	\$ 10.02					01/28/2010	01/28/2019	Common Stock	47,6

# **Reporting Owners**

BROOMFIELD, CO 80021-2510

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Pauley Lisa Ann						
10 LONGS PEAK DRIVE			SR VP, HR & Administration			

Reporting Owners 2

Date

### **Signatures**

/s/ Janice L. Rodriguez, attorney-in-fact for Ms.
Pauley

07/24/2017

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock acquired from exercise of Stock Appreciation Rights (SARs).
- (2) The reporting person expressly disclaims beneficial ownership of the securities owned by Spouse.
- (3) Shares utilized to pay for the cost of the Stock Appreciation Rights (SARs) and for the payment of the tax obligation on the exercise of the SARs.
- (4) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (5) Exercise of Stock Appreciation Rights (SARS).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3