

LENNOX INTERNATIONAL INC  
Form 5  
February 14, 2017

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
NORRIS JOHN W III

(Last) (First) (Middle)

2140 LAKE PARK BLVD.

(Street)

RICHARDSON, TX 75080

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
LENNOX INTERNATIONAL INC [LII]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) Amount or (D) Price			
Common Stock, Par Value \$0.01 Per Share	12/31/2016	TX	J4	12,225 D \$ 0 0		I	B.w. Norris Trust
Common Stock, Par Value	12/31/2016	TX	J4	12,225 A \$ 0 28,372		I	B.W. Norris Revocable Trust

Edgar Filing: LENNOX INTERNATIONAL INC - Form 5

\$0.01 Per Share

Common Stock, Par Value 12/31/2016 \$0.01 Per Share

12/31/2016	Â	J4	12,225	A	\$ 0	21,992	I	W.H. Norris Revocable Trust
------------	---	----	--------	---	------	--------	---	-----------------------------

Common Stock, Par Value 12/31/2016 \$0.01 Per Share

12/31/2016	Â	J4	12,225	D	\$ 0	0	I	W.h. Norris Trust
------------	---	----	--------	---	------	---	---	-------------------

Common Stock, Par Value \$0.01 Per Share

Â	Â	Â	Â	Â	Â	16,838	I	Catherine Houlihan-spouse <sup>(1)</sup>
---	---	---	---	---	---	--------	---	--

Common Stock, Par Value \$0.01 Per Share

Â	Â	Â	Â	Â	Â	50,740	D	Â
---	---	---	---	---	---	--------	---	---

Common Stock, Par Value \$0.01 Per Share

Â	Â	Â	Â	Â	Â	11,301	I	L. C. Norris Trust
---	---	---	---	---	---	--------	---	--------------------

Common Stock, Par Value \$0.01 Per Share

Â	Â	Â	Â	Â	Â	1,000	I	Lily <sup>(1)</sup>
---	---	---	---	---	---	-------	---	---------------------

Common Stock, Par Value \$0.01 Per Share

Â	Â	Â	Â	Â	Â	216,369	I	GRAT
---	---	---	---	---	---	---------	---	------

Common Stock, Par Value \$0.01 Per Share

Â	Â	Â	Â	Â	Â	321,750	I	John W. Norris, Jr. Trust A
---	---	---	---	---	---	---------	---	-----------------------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

Edgar Filing: LENNOX INTERNATIONAL INC - Form 5

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Price of Underlying Security (Instr. 6)
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NORRIS JOHN W III 2140 LAKE PARK BLVD. RICHARDSON, TX 75080	X			

## Signatures

/s/ James K. Markey, attorney-in-fact for Mr. John W. Norris III 02/14/2017

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

^

### Remarks:

Attorney-in-fact pursuant to the power of attorney dated December 7, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.