Edgar Filing: STANLEY BLACK & DECKER, INC. - Form 4

STANLEY BLACK & DECKER, INC. Form 4 December 06, 2016

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Wyatt John H Issuer Symbol STANLEY BLACK & DECKER, (Check all applicable) INC. [SWK] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) **1000 STANLEY DRIVE** 12/02/2016 President-SEF (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting NEW BRITAIN, CT 06053 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6.

Security	(Month/Day/Year)	Execution Date, if	Transactio	oror Dispos	ed of	(D)	Securities	Ownership	Indirect Beneficial
(Instr. 3)		any	Code	(Instr. 3, 4	and a	5)	Beneficially	Form:	Ownership
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	(Instr. 4)
		· · ·	Ì.				Following	or Indirect	
							Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
					or		(Instr. 3 and 4)	(Instr. I)	
			Code V	Amount	(D)	Price	(Instr. 5 and 4)		
Common Stock	12/02/2016		А	20,000 (1)	А	\$0	73,505	D	
Common Stock	12/02/2016		А	3,261 (2)	A	\$ 0	76,766	D	
Common Stock	12/04/2016		D	319 <u>(3)</u>	D	\$ 118.66	76,447	D	
Common Stock (4)							424.7042	Ι	Through Computershare

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5. Number of	6. Date Exerc		7. Title and A		8
Derivative Security	Conversion or Exercise	(Month/Day/Year)	Execution Date, if any	Code	orDerivative Securities	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		í
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(.,	(
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 118.66	12/02/2016		А	15,000	<u>(5)</u>	12/02/2026	Common Stock	15,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Wyatt John H 1000 STANLEY DRIVE NEW BRITAIN, CT 06053			President-SEF				
Signatures							
/s/ Bruce H. Beatt, Attorney-in-Fact		12/06/2016					

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares to be delivered on vesting of restricted stock units that vest 50% on the fourth anniversary of the date of grant and the balance on the 5th anniversary of the date of grant.
- (2) Shares to be delivered on vesting of restricted stock units that vest in four equal annual installments beginning on the first anniversary of the date of grant.
- (3) Shares withheld to cover taxes on vesting of restricted stock units
- (4) Aggregate number of shares held in ESPP as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported. Because interest in Plan is denominated in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated

E S C

Edgar Filing: STANLEY BLACK & DECKER, INC. - Form 4

number of shares.

(5) The option will become exercisable in four equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.