

Allegion plc
Form 4
November 03, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Yu Feng William

(Last) (First) (Middle)

**C/O SCHLAGE LOCK CO.
LLC, 11819 N. PENNSYLVANIA
STREET**

(Street)

INDIANAPOLIS, IN 46032

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Allegion plc [ALLE]

3. Date of Earliest Transaction
(Month/Day/Year)
11/02/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director _____ 10% Owner
__X__ Officer (give title _____ Other (specify
below) below)
Sr. Vice President

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Ordinary Shares	11/02/2016		M		1,142	A	\$ 29.0956	33,146	D	
Ordinary Shares	11/02/2016		M		2,280	A	\$ 29.0956	35,426	D	
Ordinary Shares	11/02/2016		M		2,467	A	\$ 25.0173	37,893	D	
Ordinary Shares	11/02/2016		M		3,253	A	\$ 32.3319	41,146	D	
Ordinary Shares	11/02/2016		M		1,278	A	\$ 54.125	42,424	D	

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Ordinary Shares	11/02/2016	M	1,398	A	\$ 57.85	43,822	D
Ordinary Shares	11/02/2016	S	11,818	D	\$ (1) 63.5178	32,004	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F Der Sec (Ins
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				
Stock Option (Right to Buy)	\$ 29.0956	11/02/2016		M	1,142	<u>(2)</u>	02/13/2021	Ordinary Shares	1,142
Stock Option (Right to Buy)	\$ 29.0956	11/02/2016		M	2,280	<u>(3)</u>	02/13/2021	Ordinary Shares	2,280
Stock Option (Right to Buy)	\$ 25.0173	11/02/2016		M	2,467	<u>(4)</u>	02/23/2022	Ordinary Shares	2,467
Stock Option (Right to Buy)	\$ 32.3319	11/02/2016		M	3,253	<u>(5)</u>	02/21/2023	Ordinary Shares	3,253
Stock Option (Right to Buy)	\$ 54.125	11/02/2016		M	1,278	<u>(6)</u>	03/11/2024	Ordinary Shares	1,278

Stock Option (Right to Buy)	\$ 57.85	11/02/2016	M	1,398	<u>(7)</u>	02/20/2025	Ordinary Shares	1,398
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Yu Feng William C/O SCHLAGE LOCK CO. LLC 11819 N. PENNSYLVANIA STREET INDIANAPOLIS, IN 46032			Sr. Vice President	

Signatures

/s/ S. Wade Sheek, Attorney-In-Fact	11/03/2016
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple purchases ranging from \$63.50 to \$63.52 per share. The price reported above reflects the

- (1) weighted average purchase price. The reporting person hereby undertakes to provided upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- (2) A stock option that vest on February 14, 2014.
- (3) A stock option which is fully vested and exercisable.
- (4) A stock option that vests in equal annual installments on February 24, 2014 and February 24, 2015.
- (5) A stock option that vest in equal annual installments on February 22, 2014, February 22, 2015 and February 22, 2016.
- (6) A stock option that vests in equal annual installments on March 11, 2015, March 11, 2016 and March 11, 2017.
- (7) Stock options that vest in equal annual installments on February 20, 2016, February 20, 2017 and February 20, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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