WATERS CORP /DE/

Form 4

January 05, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and AdREED JOAN	^	orting Person *	2. Issuer Name and Ticker or Trading Symbol WATERS CORP /DE/ [WAT]	5. Relationship of Reporting Person(s) to Issuer		
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
(Zust)	(11150)	(initiality)	(Month/Day/Year)	X Director 10% Owner		
34 MAPLE STREET			01/04/2016	$\frac{\text{Officer (give title }}{\text{below})} \frac{\text{Other (specification of the option)}}{\text{below}}$		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
MILFORD, MA 01757				_X_Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secui	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securion(A) or Do (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	01/04/2016(1)	01/04/2016	M	2,462	A	\$ 44.25	15,162	D	
Common Stock	01/04/2016(2)	01/04/2016	S	2,462	D	\$ 132.17	12,700	D	
Common Stock	01/04/2016(1)	01/04/2016	M	2,541	A	\$ 48.88	15,241	D	
Common Stock	01/04/2016(2)	01/04/2016	S	2,541	D	\$ 132.18	12,700	D	
Common Stock	01/04/2016		A	3,761 (3)	A	\$0	16,461	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDeriv Secur Acqui	ities ared (A) sposed of 3, 4,	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 44.25	01/04/2016(1)	01/04/2016	M		2,462	05/11/2007	05/11/2016	Common Stock	2,462
Stock Option (Right to Buy)	\$ 48.88	01/04/2016(1)	01/04/2016	M		2,541	01/03/2008	01/03/2017	Common Stock	2,541
Stock Option (Right to Buy)	\$ 130.35	01/04/2016		A	843		01/04/2017(4)	01/04/2026	Common Stock	843

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
REED JOANN A						
34 MAPLE STREET	X					
MILFORD, MA 01757						

Signatures

/s/ Joann A. Reed	01/05/2016	
**Signature of Reporting Person	Date	

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of common stock was made under a sale plan established pursuant to the requirements of Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (2) Sale of common stock was made under a sale plan established pursuant to the requirements of Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (3) Grant of shares of common stock subject to restrictions that lapse January 4, 2017.
- (4) Options fully vest on January 4, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.