

UMB FINANCIAL CORP
Form 3
December 03, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â KING DAVID D		(Month/Day/Year)	UMB FINANCIAL CORP [UMBF]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
		11/23/2015		
1010 GRAND BLVD.			(Check all applicable)	6. Individual or Joint/Group Filing(Check Applicable Line)
(Street)			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	<input checked="" type="checkbox"/> Form filed by One Reporting Person
KANSAS CITY,Â MOÂ	64106		<input checked="" type="checkbox"/> Officer (give title below)	<input type="checkbox"/> Form filed by More than One Reporting Person
(City)	(State)	(Zip)	EVP/Chief Risk Officer	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	6,561.1844	D	Â
Common Stock	891.0892	I	By Esop

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	01/01/2010 ⁽¹⁾	01/01/2017	Common Stock	5,014	\$ 38.84	D	Â
Stock Option (Right to Buy)	01/01/2012 ⁽²⁾	01/01/2019	Common Stock	5,034	\$ 41.37	D	Â
Stock Option (Right to Buy)	01/01/2013	01/01/2020	Common Stock	5,258	\$ 37.84	D	Â
Stock Option (Right to Buy)	01/01/2014 ⁽³⁾	01/01/2021	Common Stock	4,541	\$ 41.71	D	Â
Stock Option (Right to Buy)	01/01/2015 ⁽⁴⁾	01/01/2022	Common Stock	5,255	\$ 39.97	D	Â
Stock Option (Right to Buy)	01/01/2016	01/01/2023	Common Stock	4,560	\$ 45.58	D	Â
Stock Option (Right to Buy)	01/01/2017 ⁽⁵⁾	01/01/2024	Common Stock	3,562	\$ 57.4	D	Â
Stock Option (Right to Buy)	02/11/2018	02/11/2025	Common Stock	3,953	\$ 51.42	D	Â
Stock Option (Right to Buy)	01/01/2009 ⁽⁶⁾	01/01/2016	Common Stock	1,809	\$ 34.84	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KING DAVID D 1010 GRAND BLVD. KANSAS CITY, MO 64106	Â	Â	Â EVP/Chief Risk Officer	Â

Signatures

John C Pauls, Attorney
in Fact 12/03/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options will vest 50% on the third 1/1/2010; 75% on the 1/1/2011; and 100% on 1/1/2012.
- (2) Options will vest 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014
- (3) Options will vest 50% on 1/1/2014, 75% on 1/1/2015 and 100% on 1/1/2015
- (4) Options will vest 50% on 1/1/2015, 75% on 1/1/2016 and 100% on 1/1/2017.

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(5) Options vest 50% on 1/1/2017, 75% on 1/1/2018, and 100% on 1/1/2019

(6) Options vest 50% after 3years, 75% after 4 years and 100% after 5 years

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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