WATERS CORP /DE/

Form 4

November 19, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

	Address of Reportin ARTHUR G	ng Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol WATERS CORP /DE/ [WAT]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First)	(Middle)	3. Date o	f Earliest T	ransaction					
34 MAPLE	34 MAPLE STREET			(Month/Day/Year) 11/18/2015			te title Other below)  President, Water	er (specify		
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
MILFORD	, MA 01757		Filed(Mo	nth/Day/Yea	r)	Applicable Line) _X_ Form filed by Form filed by Person	1 0			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative Securities Ac	quired, Disposed o	of, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea		med on Date, if	3. Transacti Code	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial		

(A) Transaction(s) (Instr. 3 and 4) Code V Amount Price Common 11/18/2015 11/18/2015 M 1,105 A \$49.31 89,784 D Stock Common S 11/18/2015 11/18/2015 1,105 D 88,679 D Stock

(Instr. 8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Owned

Following

Reported

(D) or

Indirect (I)

(Instr. 4)

Ownership

(Instr. 4)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ransaction Derivative ode Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 49.31	11/18/2015	11/18/2015	M		1,105	12/13/2007	12/13/2016	Common Stock	1,105

 $\mathbf{D}$ 

# **Reporting Owners**

Reporting Owner Name / Address	Relationship
Reporting Owner Name / Address	

Director 10% Owner Officer Other

CAPUTO ARTHUR G 34 MAPLE STREET MILFORD, MA 01757

EVP and President, Waters Div

## **Signatures**

/s/ Arthur G.
Caputo

\*\*Signature of Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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