**ALLERGAN INC** Form 4

March 19, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

Stock

Common

03/17/2015

03/17/2015

(Print or Type Responses)

1. Name and Gangolli Ju	Address of Reportinulian S	g Person *	Symbol			l Ticker or Tra	ding		. Relationship of ssuer		
(Last) 2525 DUP	(First) ONT DRIVE	(Middle)	3. Date of (Month/I) 03/17/2	Day/Yea		ransaction			Director _X Officer (give elow)		Owner er (specify
IDVINE (	(Street)		4. If Ame			ate Original		A	o. Individual or Joi Applicable Line) X_ Form filed by O Form filed by M	ne Reporting Pe	erson
IRVINE, C	A 92012							F	Person		
(City)	(State)	(Zip)	Tab	le I - No	on-E	Derivative Sec	urities	Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Execution any		Code (Instr.		4. Securities and Disposed of (Instr. 3, 4 and Amount	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/06/2015			G	v	20,078	D	\$ 0	0.3007	I	By The Gangolli Family Trust
Common Stock	03/06/2015			G	V	2,095	D	\$ 0	54.408	D	
Common	03/17/2015			A		7,891.868	A	\$0	7,946.276	D	

(1)

(2)

D

D

220.4656

D

D

(2)

<u>(2)</u>

0

0

I

Ι

By ESOP

Trust

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Common Stock			265.313 (2)					By Wife's 401(k) plan
Common Stock	03/17/2015	D	7,946.276 (2)	D	<u>(2)</u>	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	TransactionDerivative		6. Date Exer Expiration D (Month/Day)	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 64.47	03/17/2015		D		60,000	(3)	02/14/2018	Common Stock	60,000
Employee Stock Option (Right to Buy)	\$ 59.13	03/17/2015		D		12,500 (4)	(3)	02/22/2020	Common Stock	12,500 (4)
Employee Stock Option (Right to Buy)	\$ 75.58	03/17/2015		D		52,000	(3)	02/17/2021	Common Stock	52,000
Employee Stock Option (Right to Buy)	\$ 87.91	03/17/2015		D		38,000	(3)	02/17/2022	Common Stock	38,000
Employee Stock	\$ 87.91	03/17/2015		D		15,000	(3)	02/17/2022	Common Stock	15,000

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Option (Right to Buy)								
Employee Stock Option (Right to Buy)	\$ 105.87	03/17/2015	D	20,000	(3)	02/21/2023	Common Stock	20,000
Employee Stock Option (Right to Buy)	\$ 105.87	03/17/2015	D	40,000	<u>(3)</u>	02/21/2023	Common Stock	40,000
Employee Stock Option (Right to Buy)	\$ 125.07	03/17/2015	D	40,729	(3)	02/21/2024	Common Stock	40,729

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Gangolli Julian S 2525 DUPONT DRIVE IRVINE, CA 92612

CVP & Pres, North America

### **Signatures**

/s/ Matthew J. Maletta, attorney-in-fact for Julian S. Gangolli

03/19/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents 7,890 performance-based restricted stock units granted on October 29, 2014 and 1.868 dividend equivalent rights acquired
  (1) with respect to each vested restricted stock unit (collectively, the "RSUs"). The RSUs vested in full on the Effective Date pursuant to the terms of the Merger Agreement (each as defined below).
- Pursuant to that certain Agreement and Plan of Merger dated November 16, 2014, (the "Merger Agreement") by and among the Issuer, Actavis, Plc ("Actavis) and a wholly-owned subsidiary of Actavis, the Issuer became an indirect wholly-owned subsidiary of Actavis upon consummation of the merger (the "Effective Date"). Each share of common stock was disposed of in exchange for \$129.22 cash plus 0.3683 of an Actavis ordinary share, having a market value of \$307.51 per share on the Effective Date.
- Each option vested in full on the Effective Date and was cancelled in exchange for a cash payment equal to the number of shares subject to the option immediately prior to the merger, multiplied by the difference between (i) 0.3683 times \$294.1509 (which represents the 10-day volume weighted average price of Actavis stock starting on the 11th trading day prior to the closing) plus \$129.22 and (ii) the exercise price of the option, subject to the applicable withholding taxes and pursuant to the procedures set forth in the Merger Agreement.
- (4) The option originally covered 50,000 shares, of which 37,500 shares subject to the option were exercised prior to the Effective Date.

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