**ALLERGAN INC** Form 4

March 19, 2015 FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number: January 31, Expires: 2005

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

**BARLOW JAMES F** 

1. Name and Address of Reporting Person \*

|                                      |  | ALI  | ALLERGAN INC [AGN]                                   |   |     |            | (Check all applicable)   |  |   |  |
|--------------------------------------|--|--|--|---|-----|------------|--|--|---|--|
| (Last)                               | (First) ONT DRIVE  | (Mor   | te of Earliest 7<br>th/Day/Year)<br>7/2015           | Fransaction                                       |     | _          | Director X Officer (give t   | 10%  | Owner<br>r (specify   |  |
| 2323 DOI                             | ONI DRIVE  | 03/1   | 112013   |   |     |            | low)   | below) p. Controller (                                   |   |  |
|                                      | (Street)   |  | 4. If Amendment, Date Original Filed(Month/Day/Year) |   |     |            | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |   |  |
| IRVINE, C                            | CA 92612   |  |  |   |     | Pe         | Form filed by More than One Reporting Person   |  |   |  |
| (City)                               | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |   |     |            |  |  |   |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year)  | 2A. Deemed<br>Execution Date,<br>any<br>(Month/Day/Yea | Code   | 4. Securities A onor Disposed of (Instr. 3, 4 and | (D) | d (A)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                      | 03/04/2015   |  |  | 3,135   | D D | \$ 0       | 1,873  | D  |   |  |
| Common<br>Stock                      | 03/17/2015   |  | D  | 1,873 <u>(1)</u>                                  | D   | <u>(1)</u> | 0  | D  |   |  |
| Common<br>Stock                      | 03/17/2015   |  | D  | 1,852.3255<br>(1)                                 | D   | <u>(1)</u> | 0  | I  | By 401(k)<br>Trust  |  |
| Common<br>Stock                      | 03/17/2015   |  | D  | 644.3059<br>(1)                                   | D   | <u>(1)</u> | 0  | I  | By Esop<br>Trust  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | TransactionDerivative Code Securities |                       | 6. Date Exer<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--------|---------------------------------------|-----------------------|---|--------------------|---|-------------------------------------|
|   |   |   |   | Code V | (A)                                   | (D)                   | Date<br>Exercisable                         | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 59.13  | 03/17/2015                              |   | D      |                                       | 4,925<br>(2)          | (3)   | 02/22/2020         | Common<br>Stock   | 4,925                               |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 75.58  | 03/17/2015                              |   | D      |                                       | 9,500<br>(4)          | (3)   | 02/17/2021         | Common<br>Stock   | 9,500                               |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 87.91  | 03/17/2015                              |   | D      |                                       | 11,625<br>( <u>5)</u> | (3)   | 02/17/2022         | Common<br>Stock   | 11,625                              |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 105.87   | 03/17/2015                              |   | D      |                                       | 14,000                | (3)   | 02/21/2023         | Common<br>Stock   | 14,000                              |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 125.07   | 03/17/2015                              |   | D      |                                       | 11,469                | (3)   | 02/21/2024         | Common<br>Stock   | 11,469                              |
| Employee<br>Stock<br>Option<br>(Right to            | \$ 234  | 03/17/2015                              |   | D      |                                       | 6,008                 | (3)   | 02/20/2025         | Common<br>Stock   | 6,008                               |

| Buy)   |            |            |   |       |            |            |                 |       |
|--|------------|------------|---|-------|------------|------------|-----------------|-------|
| Restricted<br>Stock<br>Units                     | <u>(6)</u> | 03/17/2015 | D | 462   | <u>(6)</u> | <u>(6)</u> | Common<br>Stock | 462   |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 105.87  | 03/17/2015 | D | 1,000 | (3)        | 02/21/2023 | Common<br>Stock | 1,000 |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BARLOW JAMES F 2525 DUPONT DRIVE IRVINE, CA 92612

SR. VP, Corp. Controller (PAO)

## **Signatures**

/s/ Matthew J. Maletta, attorney-in-fact for James F. Barlow

03/19/2015

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to that certain Agreement and Plan of Merger dated November 16, 2014, (the "Merger Agreement") by and among the Issuer, Actavis, Plc ("Actavis) and a wholly-owned subsidiary of Actavis, the Issuer became an indirect wholly-owned subsidiary of Actavis upon consummation of the merger (the "Effective Date"). Each share of common stock was disposed of in exchange for \$129.22 cash plus 0.3683 of an Actavis ordinary share, having a market value of \$307.51 per share on the Effective Date.
- (2) The option originally covered 19,700 shares of which 14,755 shares subject to the option were exercised prior to the Effective Date.
- Each option vested in full on the Effective Date and was cancelled in exchange for a cash payment equal to the number of shares subject to the option immediately prior to the merger, multiplied by the difference between (i) 0.3683 times \$294.1509 (which represents the 10-day volume weighted average price of Actavis stock starting on the 11th trading day prior to the closing) plus \$129.22 and (ii) the exercise price of the option, subject to the applicable withholding taxes and pursuant to the procedures set forth in the Merger Agreement.
- (4) The option originally covered 19,000 shares of which 9,500 shares subject to the option were exercised prior to the Effective Date.
- (5) The option originally covered 15,500 shares of which 3,875 shares subject to the option were exercised prior to the Effective Date.
- (6) The restricted stock units ("RSUs") vested in full on the Effective Date and each RSU was cancelled in exchange for 0.3683 shares of Actavis stock having a market value of \$307.51 per share on the Effective Date, and \$129.22 in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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